

## PROGRAM III: INFRASTRUCTURE & ENVIRONMENTAL

### SUMMARY OF APPROPRIATIONS AND REVENUES

| Agency | Agency Name                                       | FY 2003 - 2004<br>Appropriations | FY 2003 - 2004<br>Revenue | FY 2003 - 2004<br>Net County Cost |
|--------|---|----------------------------------|---------------------------|-----------------------------------|
| 034    | Watershed Management Program                      | 18,058,188                       | 17,442,900                | 615,288                           |
| 040    | Utilities   | 21,961,350                       | 921,690                   | 21,039,660                        |
| 071    | Planning and Development Services                 | 8,985,952                        | 6,152,452                 | 2,833,500                         |
| 080    | Public Facilities and Resources                   | 44,344,687                       | 32,805,687                | 11,539,000                        |
|        | GENERAL FUND TOTAL                                | 93,350,177                       | 57,322,729                | 36,027,448                        |
| 106    | County Tidelands - Newport Bay                    | 3,065,404                        | 3,065,404                 | 0                                 |
| 108    | County Tidelands - Dana Point                     | 19,068,626                       | 19,068,626                | 0                                 |
| 113    | Building and Safety                               | 12,887,398                       | 12,887,398                | 0                                 |
| 114    | Fish and Game Propagation                         | 27,542                           | 27,542                    | 0                                 |
| 115    | Road  | 94,821,214                       | 94,821,214                | 0                                 |
| 117    | O.C. Housing Authority - Operating Reserve        | 4,350,983                        | 4,350,983                 | 0                                 |
| 119    | Public Library - Capital                          | 5,157,898                        | 5,157,898                 | 0                                 |
| 120    | Public Library                                    | 29,912,537                       | 29,912,537                | 0                                 |
| 128    | Survey Monument Preservation                      | 242,359                          | 242,359                   | 0                                 |
| 129    | Off-Highway Vehicle Fees                          | 216,864                          | 216,864                   | 0                                 |
| 137    | Parking Facilities                                | 4,740,921                        | 4,740,921                 | 0                                 |
| 140    | Air Quality Improvement                           | 329,737                          | 329,737                   | 0                                 |
| 148    | Foothill Circulation Phasing Plan                 | 7,072,300                        | 7,072,300                 | 0                                 |
| 15G    | Housing/Community Development                     | 35,463,190                       | 35,463,190                | 0                                 |
| 15K    | Limestone Regional Park Mitigation Endowment      | 40,079                           | 40,079                    | 0                                 |
| 15U    | Strategic Priority - Affordable Housing           | 4,900,000                        | 4,900,000                 | 0                                 |
| 275    | IWMD - Environmental Reserve                      | 125,000                          | 125,000                   | 0                                 |
| 276    | IWMD - Deferred Payment Security Deposits         | 31,688                           | 31,688                    | 0                                 |
| 277    | IWMD - Rate Stabilization                         | 1,352,723                        | 1,352,723                 | 0                                 |
| 279    | IWMD - Landfill Post-Closure Maintenance          | 125,000                          | 125,000                   | 0                                 |
| 280    | Airport - Operating Enterprise                    | 114,260,689                      | 114,260,689               | 0                                 |
| 283    | John Wayne Airport Debt Service                   | 48,838,686                       | 48,838,686                | 0                                 |
| 284    | Frank R. Bowerman/Bee Canyon Landfill Escrow      | 1,035,928                        | 1,035,928                 | 0                                 |
| 285    | IWMD Bankruptcy Recovery Plan                     | 19,090,107                       | 19,090,107                | 0                                 |
| 286    | Brea-Olinda Landfill Escrow                       | 2,294,865                        | 2,294,865                 | 0                                 |
| 287    | Prima Deschecha Landfill Escrow                   | 569,866                          | 569,866                   | 0                                 |
| 288    | Santiago Canyon Landfill Escrow                   | 781,120                          | 781,120                   | 0                                 |
| 299    | Integrated Waste Management Department Enterprise | 131,542,038                      | 131,542,038               | 0                                 |
| 400    | Flood Control District                            | 82,727,896                       | 82,727,896                | 0                                 |
| 403    | Santa Ana River Environmental Enhancement         | 237,877                          | 237,877                   | 0                                 |
| 404    | Flood Control District - Capital                  | 88,817,186                       | 88,817,186                | 0                                 |
| 405    | Harbors, Beaches and Parks CSA No. 26             | 74,748,470                       | 74,748,470                | 0                                 |
| 459    | N. Tustin Landscape & Lighting Assessment Dist.   | 1,184,453                        | 1,184,453                 | 0                                 |

**SUMMARY OF APPROPRIATIONS AND REVENUES (Continued)**

| Agency | Agency Name                                      | FY 2003 - 2004<br>Appropriations | FY 2003 - 2004<br>Revenue | FY 2003 - 2004<br>Net County Cost |
|--------|--|----------------------------------|---------------------------|-----------------------------------|
| 468    | County Service Area #13 - La Mirada              | 7,123                            | 7,123                     | 0                                 |
| 475    | County Service Area #20 - La Habra               | 18,902                           | 18,902                    | 0                                 |
| 477    | County Service Area #22 - East Yorba Linda       | 43,390                           | 43,390                    | 0                                 |
| 506    | Irvine Coast Assess. District 88-1 -Construction | 1,788,382                        | 1,788,382                 | 0                                 |
| 9A0    | Debt Service                                     | 63,731,748                       | 63,731,748                | 0                                 |
|        | NON-GENERAL FUND TOTAL                           | 855,650,199                      | 855,650,199               | 0                                 |
|        | TOTAL INFRASTRUCTURE & ENVIRONMENTAL             | 949,000,376                      | 912,972,928               | 36,027,448                        |



## 071 - PLANNING AND DEVELOPMENT SERVICES

### Operational Summary

#### Mission:

To safeguard the high quality of life in unincorporated Orange County through stewardship of the environment, application and enforcement of building, water and grading regulations, and planning of strategically balanced communities.

#### Strategic Goals:

- Ensure a safe living, working and recreational environment characterized by the highest quality building, electrical, grading and mechanical standards.
- Availability of a wide range of quality housing opportunities throughout the unincorporated areas of Orange County.
- Preservation of open space and protection of sensitive habitats, waterways and wildlife.

#### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results             | 2003 Business Plan Target                                       | How are we doing? |
|--|--|---|-------------------|
| <b>NUMBER OF CASES OPENED &amp; CLOSED BY CODE ENFORCEMENT.</b><br><br><b>What:</b> Code enforcement cases to protect the safety & welfare of residents in Unincorporated Orange County.<br><b>Why:</b> To protect public from threats to safety.  | Open cases: 835.<br>Close cases: 853.  | Key Outcome measures will be updated in the 2004 Business Plan. | Not Available     |
| <b>TURNAROUND TIME ON RESOLUTION TO CODE ENFORCEMENT COMPLAINTS.</b><br><br><b>What:</b> Protect public from safety threats and responsiveness to concerns in fast & efficient manner.<br><b>Why:</b> To protect public from safety threats; and to provide fast & efficient public service. | 48 days turnaround time.               | Key Outcome measures will be updated in the 2004 Business Plan. | Not Available     |
| <b>NUMBER OF NEW HOMES COMPLETED, CATEGORIZED BY SQUARE FOOTAGE.</b><br><b>What:</b> Create Housing Opportunity Overlay District that provides affordable housing production incentives.<br><b>Why:</b> To ensure fair housing opportunities for all residents.                              | 2,480 projected total new units added. | Key Outcome measures will be updated in the 2004 Business Plan. | Not Available     |

#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 8,447,707 |
| Total Recommended FY 2003-2004 Budget:        | 8,985,952 |
| Percent of County General Fund:               | 0.37%     |
| Total Employees:                              | 73.00     |

## Key Outcome Indicators: (Continued)

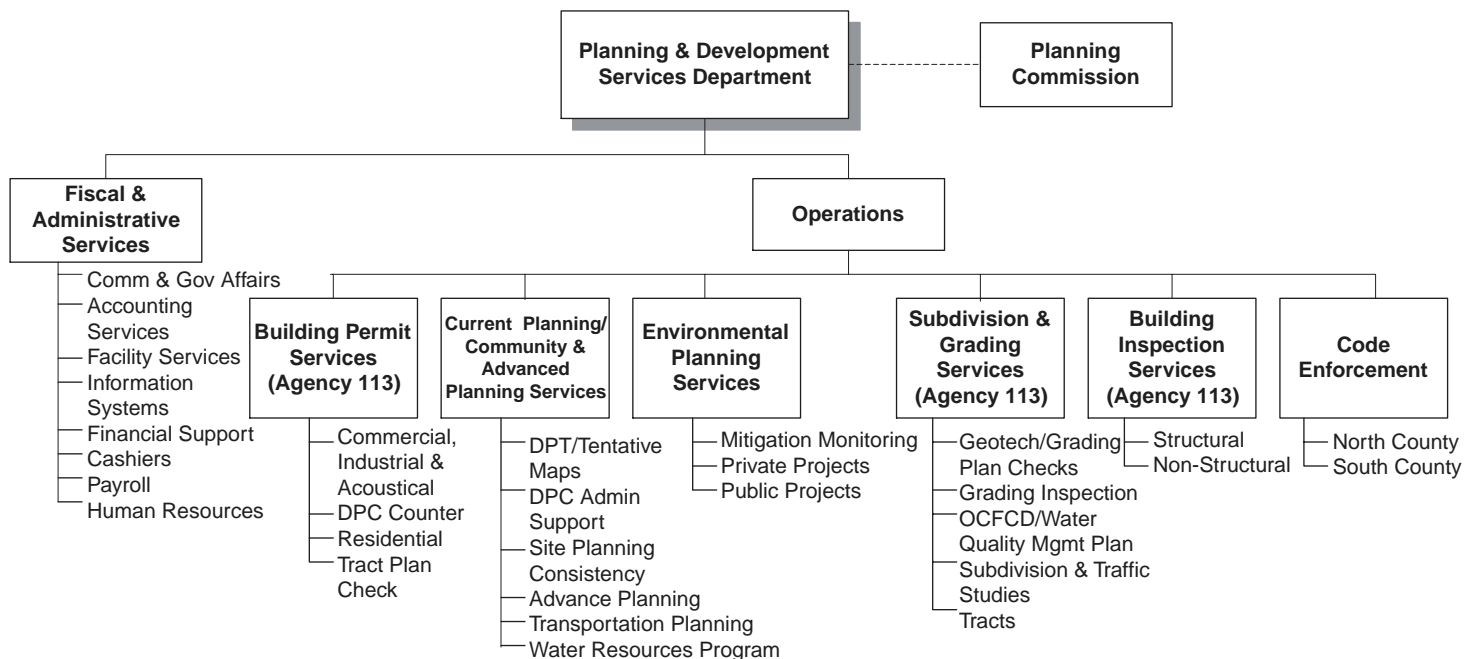
| Outcome Indicator  | 2002 Business Plan Results  | 2003 Business Plan Target                                       | How are we doing? |
|--|---|---|-------------------|
| <b># OF AFFORDABLE NEW HOMES IN GENERAL PLAN HOUSING ELEMENT - COMMUNITY REVITALIZATION EFFORTS.</b><br><br><b>What:</b> Affordable housing is state-mandated goal of the Housing Element.<br><b>Why:</b> To ensure fair housing opportunities for all residents.                              | In August 2001, established a Community Planning section, one goal of which is to facilitate expeditious development process coordination for affordable housing projects. Continue to work with Housing Element Resource Team to prepare a Housing Opportunity Overlay District for Board consideration. | Key Outcome measures will be updated in the 2004 Business Plan. | Not Available     |
| <b># OF ACRES IDENTIFIED FOR AFFORDABLE HOUSING &amp; DEVELOPMENT OF THOSE PROPERTIES.</b><br><b>What:</b> PDSD's annual report outlines the organization's financial & corporate conditions.<br><b>Why:</b> To ensure fair housing opportunities for all residents.                           | As presented in Housing Element, 1,065 acres identified as potential for revitalization efforts. Committed to state minimum of 144 acres. 220 acres of potential sites actually identified.   | Key Outcome measures will be updated in the 2004 Business Plan. | Not Available     |
| <b>NUMBER OF ACRES ADDED TO PERMANENT PRIVATE OPEN SPACE OR RECREATION.</b><br><b>What:</b> To protect County's permanent open space for recreational opportunities.<br><b>Why:</b> To protect County's open spaces and expand recreational opportunities.                                     | 225 acres added.  | Key Outcome measures will be updated in the 2004 Business Plan. | Not Available     |
| <b>NUMBER OF ACRES OF SENSITIVE HABITAT ENHANCED OR CREATED THROUGH MITIGATION BANKS.</b><br><b>What:</b> Establishment of biological mitigation measures required as mitigation for development projects.<br><b>Why:</b> To ensure preservation and restoration of County's natural habitats. | Complete: 242 acres.<br>Pending: 241 acres.   | Key Outcome measures will be updated in the 2004 Business Plan. | Not Available     |
| <b>NUMBER OF ENVIRONMENTAL DOCUMENTS COMPLETED.</b><br><b>What:</b> To ensure proper review, preparation & processing of environmental impact reports.<br><b>Why:</b> Ensure environmental protections - mitigation of impact stemming from new project implementation.                        | Private Projects: 122<br>Public projects: 188<br>Non-County Lead: 144   | Key Outcome measures will be updated in the 2004 Business Plan. | Not Available     |

## Fiscal Year FY 2002-2003 Key Project Accomplishments:

- In Calendar Year 2003, PDSD finds itself without a Business Plan because of a financial crisis that unfolded at the close of FY 01-02. The complications arising from the fiscal crisis are of a magnitude that a reorganization and reinventing of the Department is necessitated that reaches far beyond standard Business Plan goals and strategies. As a result, every aspect of the Department is being subjected to a full review from the ground up with a focus on the core services required by the community. In Budget 071, duties such as maintenance of the General Plan remain a state-mandated central function. Board of Supervisor assigned duties such as Code Enforcement and Community Revitalization continue in place, but the exact degree of service, whether increased or decreased from the past, will depend on the supporting funding determined by the Board.
- To meet the challenges presented by reorganization and reinvention of PDSD, numerous steps have already taken place:

- An Interim Director, Larry Leaman, volunteered to assist in guiding the Department until a permanent Director is selected
- Recruitment for a new Director was initiated in April, 2003
- A second Assistant Director, Walt Krause, was assigned to manage all of the fiscal and administrative services for PDS
- Layoffs were implemented to reduce staff size to fit the budget and projected workloads. Sixteen (16) positions were vacated as a result. Most staff members laid off were reassigned to other County Departments.
- Early retirements were offered and accepted by 4 staff members to help ameliorate the impact of layoffs
- Temporary transfers of employees from the CEO's and Auditor-Controller's offices were executed to bring in experienced budget specialists to review and amend fiscal policies and practices
- Retired County employees were brought back as Extra Help to assist with budgetary and accounting issues.
- A new Time & Materials based fee ordinance to recover costs was proposed and approved by the Board of Supervisors on March 4, 2003 and implemented on April 3, 2003.
- The APPS System was modified to accommodate the new Time & Materials based fee system
- PDS employees were trained to implement and execute the Time & Materials system
- A Fee Appeals Review Board and Hearing Officer process has been developed and sent to County Counsel for review
- Maximus was hired to perform a new comprehensive fee study
- Numerous new financial tools were implemented including regular meetings with key County Agencies (CEO, Auditor-Controller, County Counsel and Internal Audit)

## Organizational Summary



**DIRECTOR'S OFFICE** - PDSD's Director, Assistant Directors, Community & Government Affairs Officer (CGAO) and 3 Secretaries make up the Director's Office Division. Each Program Manager reports to the Assistant Director of Operations, while all fiscal employees, IT manager, HR and CGAO report to the Assistant Director of Administration. This relationship strengthens the role of top management and improves coordination of departmental goals and objectives.

**CURRENT PLANNING SERVICES** - The Community and Advanced Planning Services Division created in the FY 01-02 cycle has been consolidated with Current Planning Services to create the Current and Advanced Planning Services Division (CAPS) in order to increase efficiency and to focus on revenue-generating, cost-offset core services to the most appropriate extent. Through CAPS, PDSD provides quality information and planning services at the Development Processing Center (DPC); processes administrative and discretionary permits and zone changes for privately initiated development projects; coordinates public hearings for the Zoning Administrator and Planning Commission; enforces County's Land-use regulations; and supports regional planning efforts.

Additionally, the Division now has responsibility for implementing the Five-Year Action Plan; maintaining the General Plan; coordinating necessary transportation and air analysis for private and public projects within the unincorporated county areas; monitoring all Development Agreements to ensure compliance with obligations; processing Annual Monitoring Reports for larger planned communities; maintaining and updating environmental and urban information themes, developing graphics for department reports; conducting special studies and analyses; and providing support for Watershed Planning Projects and Water Supply issues requiring coordination with other County agencies, Cities, State and Federal agencies. Other services provided by CAPS include some activities dependent upon specific policy decisions by the Board of Supervisors to continue or expand general fund support, such as Code Enforcement and Community Revitalization.

**PLANNING COMMISSION** - PDSD provides clerical support to the Planning Commission.

**ENVIRONMENTAL/PROJECT PLANNING** - This Division is charged with the critical duty of implementing and ensuring project compliance with the California Environmental Quality Act (CEQA); preparing community, specific and area plans; preparing and reviewing Environmental Impact Reports (EIRs), negative declarations, initial studies, categorical exemptions and other CEQA-related documentation for private and public projects within the unincorporated areas; providing technical site planning and engineering support for environmental issues and special project analyses; acting as liaison to the California State Coastal Commission on project and planning requirements within unincorporated Orange County Coastal zones; and monitoring implementation of biological mitigation measures required for development projects in satisfaction of CEQA or conditions of project approval.

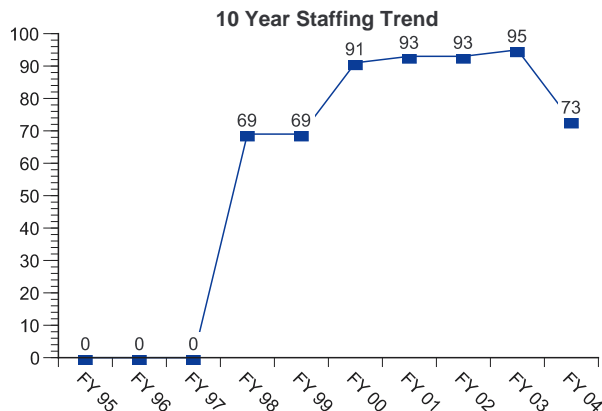
**CODE ENFORCEMENT** - Facilitates the enforcement of Titles 2, 3, 4, 6 & 7 of the Orange County Codified Ordinances (OCCO) and a resolution establishing a schedule of fines for Grading Code violations. Also issues Civil Citations that impose fines upon persons who violate the OCCO.

**FISCAL & PROGRAM SERVICES** - Under direct management by the Assistant Director of Administration, FPS provides quality services and support functions to all PDSD Divisions and clients, including budget and financial services, payroll, building maintenance, records management, banking functions and purchasing. All Divisions of FPS support both Fund 071 and Fund 113 activities.

**Information technology** - As a key player in the overall operations of PDSD, IT developed, implemented and maintains the Automated Permit and Processing System, an in-house designed system to allow a broad and still-growing range of on-line capabilities including scheduling and tracking of inspections, plan checks, deposits and fees. Available to the public for state-of-the-art access and convenience to users, APPS also offers a wide variety of activity, use and trend report generation.

**HUMAN RESOURCES** - This unit provides technical direction and support for all PDSF functions in the area of human resources, including recruitment, hiring, classification, employee relations, position control and implementation of corporate policies and procedures.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- PDSF was established on November 19, 1996 in accordance with Board Resolution No. 96-825. The staffing change from FY 1998/99 to FY 99/00 was due to the addition of Code Enforcement (7), Human Resources and Fiscal and Program Services Division (15) positions. Per Board directive on June 5, 2000, PDSF's FY 00/01 budget was augmented by \$200,000 and (2) positions to address code enforcement needs in the County.

- As a result of the financial crisis in 02/03 many of the above positions were vacated. PDSF Fund 071, which had included 95 budgeted positions, currently has vacancies in 32 of these positions. Twenty-two (22) of these vacancies are scheduled to be eliminated.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Consistent with the PDSF Strategic Plan to assist the County with incorporations; continue EIR preparation, support for regional planning efforts and coordinate with Public Facilities & Resources Department. Additional activities require review of core functions to ascertain consistency with County Strategic Plan, and further require Board of Supervisors direction and General Fund support. These functions include but are not limited to Code Enforcement, community revitalization and continued expansion of the APPS project.

### Changes Included in the Recommended Base Budget:

Deletion of twenty-two (22) positions with \$1,338,702 in corresponding appropriation reduction in salary and employee benefits primarily as a result of PDSF's January 2003 layoff and early retirement impact.

## Requested Budget Augmentations and Related Performance Results:

| Unit/Amount  | Description   | Performance Plan   | Ref. Num. |
|--|---|--|-----------|
| EXTRA HELP CONVERSION- - 2<br>OFFICE TECHNICIANS<br>Amount: \$ 0   | 2 Extra help positions currently providing lobby coverage at Osborne Building.                      | Implementing security enhancements measures which includes public access/egress.                     | 071-002   |
| EXTRA HELP CONVERSION--<br>ACCOUNTING ASSISTANT II<br>Amount: \$ 0 | Position critical for petty cash fund reconciliation and processing refunds for developer deposits. | Timely processing of journal vouchers for bldg permits & dev projects refunds for developer deposits | 071-003   |

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 73                                      | 73   | 73                          | 0                                     | 0.00    |
| Total Revenues     | 8,837,389              | 10,187,187                              | 3,394,038  | 6,152,452                   | 2,758,414                             | 81.27   |
| Total Requirements | 9,506,573              | 13,396,719                              | 8,692,429  | 8,985,952                   | 293,523                               | 3.38    |
| Net County Cost    | 669,184                | 3,209,532                               | 5,298,391  | 2,833,500                   | (2,464,891)                           | -46.52  |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Planning and Development Services in the Appendix on page 496.

## Highlights of Key Trends:

- Time and Materials Deposit and Fee system adopted to ensure that costs are recovered and services are efficiently delivered
- Implementation of "800" number and on-line form for convenient public access to file Code Enforcement complaints
- Continue to participate in the Talega Valley Joint Powers Authority Planning Commission
- Initiated South County Outreach and Review Effort (SCORE) program to allow public input into the proposed Rancho Mission Viejo project, the largest planned community ever undertaken in Orange County and largest remaining tract of privately owned land
- APPS expanded to provide Time and Materials tracking and estimating to assist both in-house functions and public accessibility
- Complete reorganization and revitalization initiated including statewide search for Director of Planning & Development; right-sizing department to meet projected future needs;
- Initiated efforts to project workload/resources needs over the next five years

## Budget Units Under Agency Control

| No. | Agency Name                           | Director'S Office | Current Planning<br>Services | Planning<br>Commission | El Toro Reuse | Environmental/<br>Project Planning | Code<br>Enforcement | Fiscal & Program<br>Services | Human<br>Resources | Community &<br>Advanced<br>Planning | Total      |
|-----|---------------------------------------|-------------------|------------------------------|------------------------|---------------|------------------------------------|---------------------|------------------------------|--------------------|-------------------------------------|------------|
| 071 | Planning &<br>Development<br>Services | 848,513           | 1,672,309                    | 61,719                 | 0             | 1,069,011                          | 579,083             | 3,061,666                    | 218,056            | 1,475,595                           | 8,985,952  |
| 113 | Building And<br>Safety                | 11,087,398        | 0                            | 0                      | 0             | 0                                  | 0                   | 0                            | 0                  | 0                                   | 11,087,398 |
| 140 | Air Quality<br>Improvement            | 329,737           | 0                            | 0                      | 0             | 0                                  | 0                   | 0                            | 0                  | 0                                   | 329,737    |
|     | Total                                 | 12,265,648        | 1,672,309                    | 61,719                 | 0             | 1,069,011                          | 579,083             | 3,061,666                    | 218,056            | 1,475,595                           | 20,403,087 |



## 113 - BUILDING AND SAFETY

### Operational Summary

#### Description:

The Building and Safety fund is a Restricted/Special Revenue Fund to enforce safety codes, ordinances and statutes related to construction and use of buildings, oil production, signs, zoning and community development in unincorporated areas of Orange County.

#### Strategic Goals:

- Process development permits.
- Provide excellent client services.
- Review building plans for compliance with building codes and regulations.
- Maintain plan check response time.

#### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results   | 2003 Business Plan Target                                       | How are we doing? |
|--|--|---|-------------------|
| <b>NUMBER OF BUILDING AND HOME INSPECTIONS COMPLETED.</b><br><b>What:</b> Provide citizens of unincorporated Orange County safe building through compliance with codes.<br><b>Why:</b> To ensure safety of new residential and non-residential construction.                   | 133,652 inspections projected to be completed.   | Key outcome measures will be updated in the 2004 Business Plan. | Not Available     |
| <b>PERCENTAGE OF INSPECTIONS/REVIEWS PERFORMED WITHIN 1 BUSINESS DAY.</b><br><b>What:</b> Provide efficient customer service to clientele.<br><b>Why:</b> To provide fair and efficient service to clientele.  | 95.6% completed within 1 business day (Year-to-date).  | Key outcome measures will be updated in the 2004 Business Plan. | Not Available     |
| <b>PERCENTAGE OF APPROPRIATE STAFF RECEIVING TRAINING/CROSS-TRAINING IN NPDES.</b><br><b>What:</b> Federally-mandated unfunded storm water program administration & inspection.<br><b>Why:</b> To reduce impact of pollutants on watersheds stemming from storm water run-off. | Assess impact on staffing & resources; design & implement program including amendments to local CEQA guidelines & new standard mitigations/conditions to be applied to projects. | Key outcome measures will be updated in the 2004 Business Plan. | Not Available     |

#### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 11,479,889 |
| Total Recommended FY 2003-2004 Budget:        | 12,887,398 |
| Percent of County General Fund:               | N/A        |
| Total Employees:                              | 81.00      |

### Fiscal Year FY 2002-2003 Key Project Accomplishments:

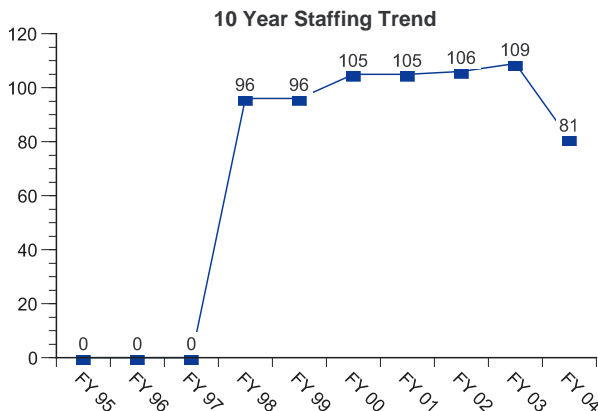
- In Calendar Year 2003, PDSD finds itself without a Business Plan because of a financial crisis that unfolded at the close of FY 01-02. The complications arising from the fiscal crisis are of a magnitude that a reorganization and reinventing of the Department is necessitated that reaches far beyond standard Business Plan goals and strategies. As a result, every aspect of the Department is being subjected to a full review from the ground up with a focus on the core services required by the community.
- An \$8 million line of credit was granted by the Board of Supervisors
- An Interim Director, Larry Leaman, volunteered to assist in guiding the Department until a permanent Director is selected
- Recruitment for a new Director was initiated in April, 2003
- A second Assistant Director, Walt Krause, was assigned to manage all of the fiscal and administrative services for PDSD
- Layoffs were implemented to reduce staff size to fit the budget and projected workloads. Seventeen (17) positions were vacated as a result. Most staff members laid off were reassigned to other County Departments.
- Early retirements were offered and accepted by 4 staff members to help ameliorate the impact of layoffs
- Temporary transfers of employees from the CEO's and Auditor-Controller's offices were executed to bring in experienced budget specialists to review and amend fiscal policies and practices
- Retired County employees were brought back as Extra Help to assist with budgetary and accounting issues.
- Retired Managers were brought back as Extra Help to assist in critical processing of subdivision maps and other crucial planning services
- A new Time & Materials based fee ordinance was proposed and approved by the Board of Supervisors on March 4, 2003 and implemented on April 3, 2003.
- The APPS System was modified to accommodate the new Time & Materials based fee system
- PDSD employees were trained to implement and execute the Time & Materials system
- A Fee Appeals Review Board and Hearing Officer process has been developed and sent to County Counsel for alteration and review
- Numerous new budgetary stopgaps implemented including:
- New cash-flow monitoring system implemented for Fund 113, which is shared with CEO & Auditor-Controller
- Regular meetings are held with key County Agencies (CEO, A-C, County Counsel and Internal Audit)

**SUBDIVISION & GRADING SERVICES** - Issues and inspects grading permits; maintains subdivision tentative maps; reviews and approves street and drainage improvement plans; processes Subdivision Committee Agenda; administers the Master plan of drainage; and provides support of additional duties imposed by unfunded mandate of PDSD's role in Regional Water Quality Control Boards' storm water permits pursuant to the Natural Pollutant Discharge Elimination System (NPDES) in conjunction with other departmental divisions, county departments and outside government agencies.

**BUILDING PERMIT SERVICES** - Reviews and approves all plans for building improvements and community development within unincorporated areas of the County for compliance with county building ordinances & applicable state building regulations; issues building, plumbing, electrical, mechanical, use and occupancy permits; and reviews acoustical reports for compliance with county land use and noise compatibility standards.

**BUILDING INSPECTION SERVICES** - Reviews and approves all plans for building improvements and community development within unincorporated areas of the County for compliance with county building ordinances & applicable state building regulations; issues building, plumbing, electrical, mechanical, use and occupancy permits; and reviews acoustical reports for compliance with county land use and noise compatibility standards.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Planning & Development Services Department was established on November 19, 1996 in accordance with Board Resolution No. 96-825. The staffing change from FY 1998/1999 to FY 1999/2000 is due to converting limited term and extra help Building Inspectors to regular positions. Although our staffing level began FY 02/03 at 106 in Fund 113, the 1/03 layoffs reduced actual staff to 81 filled positions. That leaves 28 slots vacant, of which PDSD will request to retain 19 based on projected workloads, including the duties imposed by our assigned functions under NPDES.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Consistent with PDSD's Strategic Goals to: assist the County with the utilization of integrated computer applications and inter/intra electronic communications; strive toward a "paperless" work environment; continue modification and essential expansions of APPS; return to core services in a fashion providing quality public service and efficiency consistent with the budgetary constraints of the current economy; and to complete the recruitment of a New Director and other rightsizing issues required to deliver public service in the most efficient and effective manner.

### Changes Included in the Recommended Base Budget:

Deletion of twenty-eight positions with a total of \$3,811,769 reduction in appropriation (\$1,840,669 reduction in Salary & Employee benefits and \$1,971,000 in Services & Supplies). Of this, \$3,263,397 in reductions (19 positions with \$1,294,793 in S&EB's and \$1,968,604 in S&S) is being included in the base budget as a requirement in order to reflect a balanced baseline budget. Accordingly, PDSD is requesting via separate budget augmentations for Board considerations that the \$3,263,397 be restored in the budget to allow adequate appropriations to support critical permit services and interfund charges between Fund 071 and Fund 113.

### Requested Budget Augmentations and Related Performance Results:

| Unit/Amount   | Description   | Performance Plan   | Ref. Num. |
|---|---|--|-----------|
| <b>RESTORE BASE BUDGET FUNDING--<br/>19 POSITIONS AND S&amp;EB<br/>Amount: \$ 1,294,793</b> | Restoration of 19 positions and associated funding removed from the base budget.                      | Meet obligations to primarily provide service & support for Flat Fee permits remaining in FY03-04. | 113-004   |
| <b>RESTORE BASE BUDGET FUNDING--<br/>S&amp;S<br/>Amount: \$ 1,968,604</b>                   | Restoration of S & S deleted from base budget to provide continued Administrative & Support Svcs.     | Restoration of S&S critical in meeting interfund direct & indirect charges from Fund 071.          | 113-005   |
| <b>LEGAL FEES<br/>Amount: \$ 200,000</b>  | Request for additional legal costs to Fund 113 in conjunction with Barratt American litigation.       | Meeting legal requirements associated with Barratt American litigation defense actions & reviews.  | 113-007   |
| <b>ADDITIONAL RESERVES<br/>Amount: \$ 1,000,000</b>   | Request for additional reserve to accommodate potential cash and/or budget shortfall in FY 2003-2004. | Ability to meet financial obligations  | 113-008   |

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 100                                     | 100  | 81                          | (19)                                  | -19.00  |
| Total Revenues     | 15,590,719             | 17,052,276                              | 13,898,402   | 12,887,398                  | (1,011,004)                           | -7.27   |
| Total Requirements | 18,023,000             | 17,052,276                              | 11,664,326   | 12,887,398                  | 1,223,072                             | 10.49   |
| Balance            | (2,432,281)            | 0                                       | 2,234,076  | 0                           | (2,234,076)                           | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Building and Safety in the Appendix on page 506.

## Highlights of Key Trends:

- Time and Materials Deposit and Fee system adopted to ensure fair pricing to clients and to realistically recapture costs of delivering service
- Processed the following major projects: Newport Coast/Newport Ridge; Ladera Planned Community residential projects in Planning Areas 3 & 4B
- APPS expanded to provide Time and Materials tracking and estimating to assist both in-house functions and public accessibility
- Complete reorganization and revitalization initiated including statewide search for Director of Planning & Development; right-sizing department to meet projected future needs; implementation
- Continuation of development and implementation of revised APPS Phase IV
- Implementation of monitoring Time and Materials tracking system to prepare 6-month overview for Board of Supervisors (October 2003)

## 140 - AIR QUALITY IMPROVEMENT

### Operational Summary

#### Description:

The Air Quality Improvement Fund (Fund 140) was established pursuant to passage of the 1988 California Clean Air Act for the purpose of promoting programs in Orange County that reduce air pollution from motor vehicles. Its primary funding source are AB 2766 funds provided by the State of California. The County of Orange receives approximately \$107,000 per year in subvention funds from the South Coast AQMD as part of the AB 2766 program.

#### At a Glance:

|   |         |
|---|---------|
| Total FY 2002-2003 Projected Expend + Encumb: | 91,978  |
| Total Recommended FY 2003-2004 Budget:        | 329,737 |
| Percent of County General Fund:               | N/A     |
| Total Employees:                              | 0.00    |

#### Strategic Goals:

- In FY 2003-04 in Orange County, Fund 140 will be used to support projects in the following three categories:
- Employee Rideshare/Transit and Air Quality Planning (The objective of this program is to encourage alternatives to single occupancy vehicle trips. Candidate projects may include employee rideshare program, transit subsidy, commuter rail/Metrolink/bus/carpool incentive program, commuter/public information/outreach, guaranteed return trip program, etc.);
- Alternative fuel vehicles such as electricity, propane, methanol and natural gas. (Candidate projects may include use of electric cars, trucks, buses, electric vehicle charging stations, bicycles, etc.); and
- Capital projects (candidate projects may include commuter bikeways and bridges, pedestrian sidewalks, traffic signals, traffic management strategies, etc.).

#### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results   | 2003 Business Plan Target                                    | How are we doing?   |
|--|--|--|---|
| <b>SATISFACTION OF PARTNERS AND CLIENTS.</b><br><br><b>What:</b> Air Quality improvements is funded by Fund 140 for benefit of County operations & employees.<br><b>Why:</b> Activities lead to higher quality of life for OC residents & comply with Air District guidelines. | Eligible projects have been funded consistent with Board policies. | Funding will continue in accordance with program parameters. | Successfully supported the implementation of various rideshare programs in coordination with CEO/HR including Metrolink, Vanpool, and Guaranteed Return Trip Rideshare Program. |

## Key Outcome Indicators: (Continued)

| Outcome Indicator   | 2002 Business Plan Results   | 2003 Business Plan Target  | How are we doing?  |
|---|--|--|--|
| <b>PDSO TRAFFIC FORECASTS ARE CONSISTENT WITH THOSE OF OCTA.</b><br><br><b>What:</b> System ( computer models, data sets, & procedures) to allow County to make accurate forecasts.<br><b>Why:</b> We can serve our clients, especially other County Agencies/Departments more effectively. | Continued improvements are being made, consistent with changes by OCTA and in accordance with SCAQMD parameters. PDSO's utilization of Air Quality funds are consistent with Board of Supervisor directives. | Initiate sub-area model South Orange County Transportation Model (SOCTAM). | Progressing satisfactorily. Expect sub-area model completion by June 2003. |
| <b>POSITIVE AUDITS BY SCAQMD OF AB2766 FUNDS.</b><br><br><b>What:</b> Funds are available for a wide range of projects that lead to improved air quality.<br><b>Why:</b> This program can help lead to higher quality of life for Orange County residents.                                  | Audit completed in December 2001.  | Continue to manage program subject to staffing constraints.                | Latest audit was satisfactory.   |

## Fiscal Year FY 2002-2003 Key Project Accomplishments:

- During FY 2002-03, Fund 140 was used to:
- Defray lease renewal costs for two electric vehicles;
- Support a joint City/County directional signage program for streets leading from I-5 into the Santa Ana Civic Center; and
- Sustain an Employee Rideshare Program administered by the County Executive Office (CEO)

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Continue to promote public awareness of reduction projects to reduce motor vehicle emissions.

Continue to keep partners and clients informed of planning and technical activities, and public education/rideshare events through verbal and written communication.

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002 Actual | FY 2002-2003 Budget As of 3/31/03 | FY 2002-2003 Projected <sup>(1)</sup> At 6/30/03 | FY 2003-2004 Recommended | Change from FY 2002-2003 Projected |         |
|--------------------|---------------------|-----------------------------------|--|--------------------------|------------------------------------|---------|
|                    |                     |                                   |  |                          | Amount                             | Percent |
| Total Revenues     | 388,797             | 324,524                           | 407,693  | 329,737                  | (77,956)                           | -19.12  |
| Total Requirements | 183,273             | 324,524                           | 180,128  | 329,737                  | 149,609                            | 83.06   |
| Balance            | 205,524             | 0                                 | 227,564  | 0                        | (227,564)                          | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Air Quality Improvement in the Appendix on page 518.

## 080 - PUBLIC FACILITIES AND RESOURCES

### Operational Summary

#### Mission:

The primary mission of the Public Facilities & Resources Department is to provide, operate, and maintain quality public facilities and regional resources for the people of Orange County.

#### Strategic Goals:

- Agricultural Commissioner:
- Provide citizens a basis of value comparison and fair competition by ensuring accuracy of weighing and measurement systems. Prevent exotic plant pest and disease.
- Protect residents, users, and the environment from pesticide hazards. Protect areas from the threat of wildfire through weed abatement.
- Internal Services:
- Support County agencies and operations by operating and maintaining the vehicle fleet. Support County agencies and operations by operating and maintaining facilities.
- Support County agencies and operations by providing printing and publishing services. Support County agencies and operations by managing County capital projects

#### Key Outcome Indicators:

| Outcome Indicator   | 2002 Business Plan Results  | 2003 Business Plan Target                 | How are we doing? |
|---|---|---|-------------------|
| <b>PERFORMANCE INDICATOR FOR AG COMMISSIONER: WEIGHT &amp; MEASURES PROGRAM.</b><br><b>What:</b> Number of valid citizen complaints regarding weight & measures discrepancies per 100,000 residents.<br><b>Why:</b> Indicates basis of value comparisons through accurate weighing and measuring systems. | 0.60 per 100,000 residents' complaints on weight and measure discrepancies. | Not to exceed 0.60 per 100,000 residents. | On target.        |
| <b>PERFORMANCE INDICATOR FOR AG COMMISSIONER: EXOTIC PLANT PEST AND DISEASE CONTROL PROGRAM.</b><br><b>What:</b> Number of valid exotic plant pest and disease reported per 100,000 residents.<br><b>Why:</b> Indicates effectiveness of plant pest and disease prevention program.                       | 5.5 per 100,000 residents reported valid exotic plant pest and disease.     | Not to exceed six per 100,000 residents.  | On target.        |

## Key Outcome Indicators: (Continued)

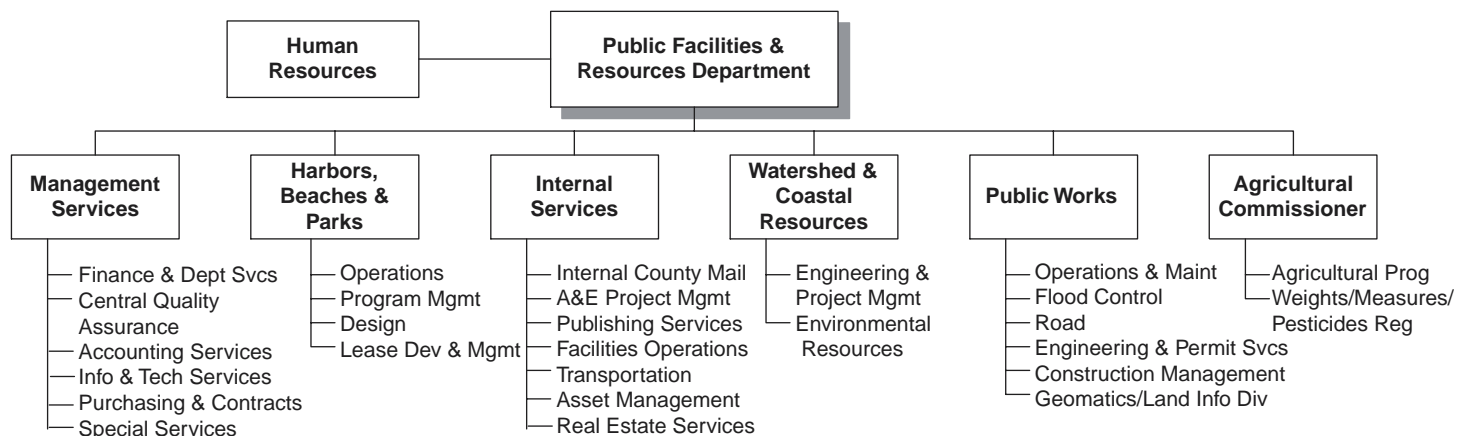
| Outcome Indicator  | 2002 Business Plan Results  | 2003 Business Plan Target                 | How are we doing? |
|--|---|---|-------------------|
| <b>PERFORMANCE INDICATOR FOR AG COMMISSIONER: PESTICIDE ILLNESS PREVENTION PROGRAM.</b><br><b>What:</b> Number of valid cases of pesticide related illness reported per 100,000 residents.<br><b>Why:</b> Indicates effectiveness of pesticide illness prevention program.   | 0.50 per 100,000 residents reported valid cases of pesticide related illness. | Not to exceed 0.50 per 100,000 residents. | On target.        |
| <b>PERFORMANCE INDICATOR FOR AG COMMISSIONER: WEED ABATEMENT PROGRAM.</b><br><b>What:</b> Total number of unincorporated acres burned by wildfire.<br><b>Why:</b> Indicates effectiveness of weed abatement program and the potential reduction in wildfire risk.  | 1,400 Acres   | Not to exceed 500 Acres                   | On target.        |
| <b>PERFORMANCE INDICATOR FOR INTERNAL SERVICES: EFFICIENCY IN OPERATING AND MAINTAINING FACILITIES.</b><br><b>What:</b> The total annual cost of the building O&M divided by the total number of building square feet.<br><b>Why:</b> Indicates efficiency of support to County agencies by operating and maintaining facilities.      | N/A   | Study Pending.                            | On target.        |
| <b>PERFORMANCE INDICATOR FOR INTERNAL SERVICES: PROVIDING QUALITY OF THE BUILDING O&amp;M.</b><br><b>What:</b> % of building users rating the quality of the building O&M provided as reported in a valid survey.<br><b>Why:</b> Indicates customer satisfaction with support to County agencies and operations.                       | N/A   | Survey Under Development.                 | On target.        |
| <b>PERFORMANCE INDICATOR FOR INTERNAL SERVICES: MANAGING COUNTY CAPITAL PROJECTS WITHIN TIME.</b><br><b>What:</b> Percentage of building capital projects completed on time.<br><b>Why:</b> Indicates efficiency of support to County agencies & operations in managing County capital projects.                                       | N/A   | Study pending.                            | On target.        |
| <b>PERFORMANCE INDICATOR FOR INTERNAL SERVICES: MANAGING COUNTY CAPITAL PROJECTS WITHIN BUDGET.</b><br><b>What:</b> Percentage of capital projects completed within budget.<br><b>Why:</b> Indicates efficiency of support to County agencies & operations in managing County capital projects.  | N/A   | Study pending.                            | On target.        |
| <b>PERFORMANCE INDICATOR FOR INTERNAL SERVICES: QUALITY IN MANAGING COUNTY CAPITAL PROJECTS.</b><br><b>What:</b> % of customers responding to valid survey for the quality of project management services provided.<br><b>Why:</b> Indicates satisfaction/support to County agencies & operations in managing County capital projects. | N/A   | Survey under development.                 | On target.        |



## Fiscal Year FY 2002-2003 Key Project Accomplishments:

- **Agricultural Commissioner:** (1) Red Imported Fire Ant Program - Since 2000, PFRD's contract with the Orange County Vector Control District, has resulted in excellent progress made in eliminating this pest from Orange County. (2) Glassy-Winged Sharpshooter/Pierce's Disease Program - Since May 2000, Ag Commissioner provided an effective inspection system to prevent the further spread of the Glassy-Winged Sharpshooter in Orange County. (3) High Risk Pest Exclusion Program - Since 2000, Ag commissioner provided an effective inspection system to detect high-risk insects in package terminal facilities.
- **Internal Services:** Met maintenance standards for County buildings and met deadlines for critical County capital projects. Internal Services has identified need to implement an effective Preventive Maintenance Plan, which will help County to avoid unplanned outages and control maintenance costs.
- **Management Services:** Provided necessary support to all PFRD funds.

## Organizational Summary



**DIRECTOR'S OFFICE** - The PFRD Director's Office provides overall guidance for operating the department, oversees a total of 1,212 PFRD positions, and ensures that PFRD provides quality services to other County departments and to the public.

**MANAGEMENT SERVICES** - The Management Services Function provides administrative support to PFRD programs including financial and budget services, human resources services, computer support, purchasing and contract support, accounting services, central quality assurance, special project coordination, and legislative coordination.

**HARBORS, BEACHES AND PARKS** - See HBP Fund 405.

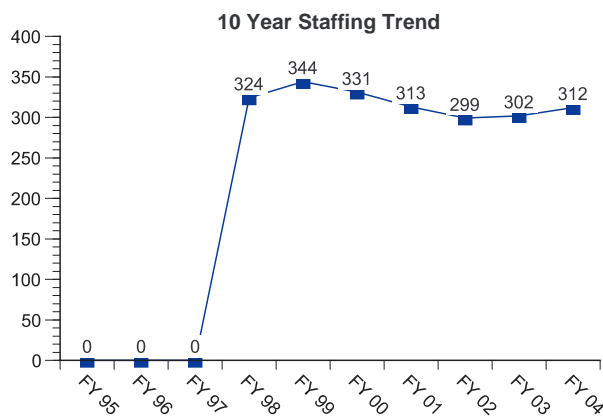
**INTERNAL SERVICES** - The Internal Services Function provides County-wide services including facility maintenance and support, Architect & Engineering services for County capital projects, repair, maintenance and management of the County vehicle fleet, publishing services, building support services, pony mail services, parking facility administration, , real estate services, and coordination of the County's asset management program.

**WATERSHED & COASTAL RESOURCES** - See Watershed & Coastal Resources Agency 034.

**PUBLIC WORKS** - The Public Works Function, within PFRD Fund 080, includes the County Property Permits Section as well as some Geomatics and Construction staff positions. The 080 Public Works Budget also accounts for the cost to operate vehicles by road maintenance and flood control staff. The Road and Flood Funds reimburse PFRD Fund 080 for these vehicle operating expenses.

**AGRICULTURAL COMMISSIONER** - The Agricultural Commissioner is mandated to enforce State laws and regulations pertaining to agriculture, pest detection and exclusion, pesticide use, and weights and measures. The Agricultural Commissioner also implements the County's weed abatement program.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- The County formed the Public Facilities & Resources Department in FY 1997-98 from combining components of the former Environmental Management Agency with components of the former General Services Agency. PFRD Fund 080's has 312 positions in FY 2003-2004. In addition to 312 positions in Fund 080, PFRD has 900 positions budgeted in nine other PFRD fund/agencies which include the Road Fund 115, Flood Fund 400, HBP Fund 405, Utilities Fund 040, Watershed & Coastal Resources Fund 034, Parking Facilities Fund 137, Reprographics ISF Fund 297, Transportation ISF Fund 296, and Dana Point Tidelands Fund 108.
- In FY 2002-2003, five positions were absorbed from former LRA to PFRD (2 in Agency 080, 1 in Parking Facilities Fund 137 & 2 in HBP Fund 405) without additional appropriations and/or NCC dollars; four positions were reassigned to Agency 080, which includes 1 from Fund 137 to Facilities Operations and 3 from other

PFRD funds (1 in Special Services, 1 in County Property Permit, and 1 in Facilities Operations); seven new augmentation positions were added in Agency 080 (4 in Information & Technology Services, 2 in Facilities Operations, and 1 in A&E Project Management). Overall 13 positions were added to Agency 080 since FY 01-02.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

Agricultural Commissioner will continue to enforce State-Mandated Agricultural and Pesticide Regulations, and Weights and Measure Programs within Orange County.

Facilities Operations will continue to provide quality facility operations and maintenance and implement preventive maintenance program.

Engineering services will complete the County's deferred maintenance plan and ensure compliance with the Americans with Disabilities Act (ADA) requirements.

### Changes Included in the Recommended Base Budget:

PFRD Fund 080's FY 2003-04 Base Budget Request of \$44,344,687 is \$2,390,311 (5.3%) higher than the FY 2002-03 Modified Budget amount (Modified Budget includes all approved mid-year budget changes). The increase is mainly for increase in Salaries & Employee Benefits \$2,476,520 (11.3%), increase in Services & Supplies \$556,029 (2.3%), decrease in Contribution to UC Co-operative extension program \$125,000 (100%) and prior year special tax assessment \$16,229 (61.9%), increase in Equipment \$9,220 (1.1%), and increase in cost applied \$510,229 (10.3%).

### Requested Budget Augmentations and Related Performance Results:

| Unit/Amount  | Description   | Performance Plan  | Ref. Num. |
|--|---|---|-----------|
| <b>ADD 2 SYSTEM PROGRAMMER ANALYST II POSITIONS</b><br>Amount: ..... \$ 95,886       | This is a replacement of outside IT contractors resulting in a \$131,170 annual cost savings to PFRD. | To provide critical support to PFRD'S application development and network infrastructure. | 080-001   |
| <b>ADD 2 SENIOR SYSTEMS PROGRAMMER ANALYST POSITIONS</b><br>Amount: ..... \$ 118,414 | This is a replacement of outside IT contractors resulting in a \$118,330 annual cost savings to PFRD. | To provide critical support to PFRD's application development and network infrastructure. | 080-002   |

## Requested Budget Augmentations and Related Performance Results: (Continued)

| Unit/Amount  | Description   | Performance Plan   | Ref. Num. |
|--|---|--|-----------|
| <b>INCREASE EXTRA HELP FUNDING</b><br>Amount:\$ 132,096  | Increase extra help funding to allow for completion of various IT project backlogs. | Two extra help positions are for the shorter period & outside contractor will cost higher than EH. | 080-005   |
| <b>ADD 1 ELECTRICIAN, 1 PLUMBER, 1 A/C MECHANIC, &amp; 1 CRAFT SUPERVISOR I FOR PREVENTIVE MAINTENANCE PROG</b><br>Amount:\$ 414,120 | Implement the initial phase of a Preventive Maintenance Strategic Financial Plan.   | Reduce the long-run maintenance costs and extend the useful life of equipment.                     | 080-003   |
| <b>29 EXTRA HELP CONVERSION TO REGULAR/LT</b><br>Amount:\$ 202,960   | Convert 29 EH positions to regular/LT to comply with MOUs.                          | To improve efficiency & service delivery, and reduce trained employee turnover.                    | 080-007   |

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 312                                     | 312  | 312                         | 0                                     | 0.00    |
| Total Revenues     | 23,511,591             | 31,036,121                              | 29,521,263   | 32,805,687                  | 3,284,424                             | 11.13   |
| Total Requirements | 32,808,115             | 41,954,376                              | 41,785,721   | 44,344,687                  | 2,558,966                             | 6.12    |
| Net County Cost    | 9,296,524              | 10,918,255                              | 12,264,458   | 11,539,000                  | (725,458)                             | -5.92   |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Public Facilities and Resources in the Appendix on page 500.

## Highlights of Key Trends:

- PFRD Agency 080 requested \$11,539,000 for General Fund Net County Cost, which is under the target established by the CEO. This request for Net County Cost is

\$264,695 (2.35%) higher than the Net County Cost Modified Budget for FY 2002-03, which was increased due to higher cost of Salaries & Employee Benefits, Services & Supplies, and Cost Applied.

## Budget Units Under Agency Control

| No. | Agency Name                    | Director'S Office | Management Services | Harbors, Beaches And Parks | Internal Services | Watershed & Coastal Resources | Public Works | Agricultural Commissioner | Total      |
|-----|--------------------------------|-------------------|---------------------|----------------------------|-------------------|-------------------------------|--------------|---------------------------|------------|
| 034 | Watershed Management Program   | 0                 | 0                   | 0                          | 0                 | 18,058,188                    | 0            | 0                         | 18,058,188 |
| 040 | Utilities                      | 0                 | 0                   | 0                          | 21,961,350        | 0                             | 0            | 0                         | 21,961,350 |
| 080 | Public Facilities & Resources  | 411,976           | 15,195,896          | 650,000                    | 15,588,309        | 0                             | 6,141,632    | 6,356,874                 | 44,344,687 |
| 106 | County Tidelands - Newport Bay | 0                 | 0                   | 3,065,404                  | 0                 | 0                             | 0            | 0                         | 3,065,404  |
| 108 | County Tidelands - Dana Point  | 0                 | 0                   | 19,068,626                 | 0                 | 0                             | 0            | 0                         | 19,068,626 |
| 114 | Fish And Game Propagation      | 0                 | 0                   | 27,542                     | 0                 | 0                             | 0            | 0                         | 27,542     |
| 115 | Road                           | 0                 | 0                   | 0                          | 0                 | 0                             | 94,821,214   | 0                         | 94,821,214 |
| 128 | Survey Monument Preservation   | 0                 | 0                   | 242,359                    | 0                 | 0                             | 0            | 0                         | 242,359    |
| 129 | Off-Highway Vehicle Fees       | 0                 | 0                   | 216,864                    | 0                 | 0                             | 0            | 0                         | 216,864    |
| 137 | Parking Facilities             | 0                 | 0                   | 0                          | 4,740,921         | 0                             | 0            | 0                         | 4,740,921  |

## Budget Units Under Agency Control

| No.   | Agency Name  | Director'S Office | Management Services | Harbors, Beaches And Parks | Internal Services | Watershed & Coastal Resources | Public Works | Agricultural Commissioner | Total       |
|-------|--|-------------------|---------------------|----------------------------|-------------------|-------------------------------|--------------|---------------------------|-------------|
| 148   | Foothill Circulation Phasing Plan                  | 0                 | 0                   | 0                          | 0                 | 0                             | 7,072,300    | 0                         | 7,072,300   |
| 15K   | Limestone Regional Park Mitigation Maint Endow     | 0                 | 0                   | 40,079                     | 0                 | 0                             | 0            | 0                         | 40,079      |
| 296   | Transportation ISF                                 | 0                 | 0                   | 0                          | 23,189,365        | 0                             | 0            | 0                         | 23,189,365  |
| 297   | Reprographics ISF                                  | 0                 | 0                   | 0                          | 4,797,192         | 0                             | 0            | 0                         | 4,797,192   |
| 400   | Flood Control District                             | 0                 | 0                   | 0                          | 0                 | 0                             | 82,727,896   | 0                         | 82,727,896  |
| 403   | Santa Ana River Environmental Enhancement          | 0                 | 0                   | 0                          | 0                 | 0                             | 237,877      | 0                         | 237,877     |
| 404   | Flood Control District-Capital                     | 0                 | 0                   | 0                          | 0                 | 0                             | 88,817,186   | 0                         | 88,817,186  |
| 405   | Harbors, Beaches & Parks CSA 26                    | 0                 | 0                   | 74,748,470                 | 0                 | 0                             | 0            | 0                         | 74,748,470  |
| 459   | N. Tustin Landscape & Lighting Assessment District | 0                 | 0                   | 1,184,452                  | 0                 | 0                             | 0            | 0                         | 1,184,452   |
| 468   | County Service Area #13 -La Mirada                 | 0                 | 0                   | 7,123                      | 0                 | 0                             | 0            | 0                         | 7,123       |
| 475   | County Service Area #20 - La Habra                 | 0                 | 0                   | 18,902                     | 0                 | 0                             | 0            | 0                         | 18,902      |
| 477   | County Service Area #22-E Yorba Linda              | 0                 | 0                   | 43,390                     | 0                 | 0                             | 0            | 0                         | 43,390      |
| 506   | Irvine Coast Assessment District 88-1 Construction | 0                 | 0                   | 0                          | 0                 | 0                             | 1,788,382    | 0                         | 1,788,382   |
| Total |  | 411,976           | 15,195,896          | 99,313,211                 | 70,277,137        | 18,058,188                    | 281,606,487  | 6,356,874                 | 491,219,769 |

## 034 - WATERSHED MANAGEMENT PROGRAM

### Operational Summary

#### Description:

State and federal water quality regulations are maturing to the point where greater demands are placed on local government. In addition, greater public awareness and activism has fostered a new level of expectations on local government to address water quality issues. Water pollution degrades surface waters making them unsafe for drinking, fishing, swim-

ming, and other activities. To combat water pollution and implement regional water quality improvement strategies, PFRD created this function in early 2001. Through this program PFRD will preserve, protect, and enhance coastal resources and surface waters throughout Orange County.

#### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 11,510,813 |
| Total Recommended FY 2003-2004 Budget:        | 18,058,188 |
| Percent of County General Fund:               | 0.74%      |
| Total Employees:                              | 38.00      |

#### Strategic Goals:

- Develop regional management strategies to preserve, protect, and enhance coastal resources and surface water throughout Orange County.

#### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results | 2003 Business Plan Target | How are we doing? |
|--|----------------------------|---------------------------|-------------------|
| <b>BEACH POSTINGS.</b><br><b>What:</b> Percentage of beach mile days without postings due to water quality.<br><b>Why:</b> Indicates level achieved in protecting and enhancing coastal resources & surface water in the County  | Study pending.             | Study pending.            | On target.        |
| <b>NUMBER OF ENFORCEMENT ACTIONS.</b><br><b>What:</b> # of enforcement actions by Regional Boards vs. County or co-permittees for stormwater violations.<br><b>Why:</b> Indicates level achieved in preserving, protecting, and enhancing surface water in the County. | Not applicable.            | Study Pending             | On target.        |
| <b>RATING SURVEY FOR NPDES EFFORTS.</b><br><b>What:</b> Cities rating of the County NPDES efforts as reported in a valid survey.<br><b>Why:</b> Indicates level achieved in preserving, protecting, and enhancing surface water in the County.                         | Survey Under Development   | Survey Under Development  | On target.        |

#### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Management of the Clean Beaches Initiative Grant Contract Greenville Banning Diversion Project , secure reimbursements of \$1,945,000.

- Oversight of reporting and monitoring to Orange County Sanitation District & Army Corps of Engineers for four urban runoff diversion projects Greenville Banning, Santa Ana River, Huntington Beach Pump Station and Talbert.
- Completed State of the Beach Report for Baby Beach as an element of the Clean Beach Initiative grant for Baby Beach. This report will identify Best Management Practices (BMPs) for the next stage of grant implementation.
- Made significant progress in identifying a potential solution for the Poche Beach Clean Beaches Initiative project.
- Revised the Drainage Area Management Plan (DAMP) for the NPDES program.
- Submitted the Annual NPDES Compliance Program.
- Developed the model Local Implementation Plans as part of the NPDES compliance requirements.
- Awarded various consultant contracts to begin implement of the various key requirements of the NPDES program such as Public Outreach, and Watershed Chapters.
- Continued compliance with Aliso Creek 13225 Directive implementation project.
- Nutrient Total Maximum Daily Load (TMDL)- Conducted compliance monitoring and annual report; received Proposition 13 grant to characterize urban nutrient sources and evaluate BMPs for nutrient removal.
- Fecal TMDL - Initiated shellfish distribution and health survey and user (harvesting) survey to determine status and potential for beneficial use.
- Toxics TMDL Completed technical review/comment of EPA toxics TMDL document and R8WQCB organophosphate pesticide TMDL adoption; Initiated selenium bio study in San Joaquin Marsh.
- Sediment TMDL - Compliance monitoring and annual report; award of Proposition 13 grant to partially fund SDC in-line basins 1 and 2 modifications for increased sediment trapping capability.
- Completion of Aliso Beach Clean Beach Initiative Project Water Quality Treatment System and secured \$500,000 in grant funding.
- 

**HAZARDOUS MAT'L DISCLOSURE PRG** - Division prepares all of the hazardous material assessments for the department.

**WATERSHED & COASTAL RESOURCES** - Watershed Planning:

The Watershed & Coastal Resources Division will focus on water quality activities that are on a broader watershed basis that looks at a watercourse in its entirety. The program will involve cooperation amongst the United States Army Corps of Engineers, the Federal and State governments, and local municipalities to implement research and construction projects that will help the program accomplish its goals.

Countywide Stormwater Quality Program: Coordinate regional compliance with the National Pollutant Discharge Elimination System program (NPDES). The County serving as the principal municipality for the 34 city stormwater permittees will conduct countywide water quality monitoring of creeks, channels, bays, and harbors, inspect illicit connections, condition all new development with water quality protection requirements, conduct water pollution investigations and spill abatement.

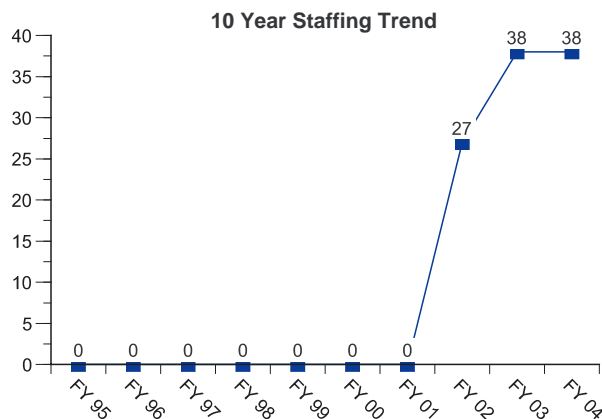
Compliance with the federal Total Maximum Daily Load (TMDL) allocations:

The TMDL focus to date has been on the Newport Bay / San Diego Creek watershed, where TMDL's for nutrients, sediment, and fecal coliform have been developed by the State and are currently being implemented. An additional TMDL for toxics is being developed for this watershed.

Countywide Hydrologic and Meteorological Data Collection System:

Operate and maintain the Automatic Local Evaluation on Real Time Flood Detection System (ALERT) which consists of a network of over 100 rainfall and flood control and reservoir water level sensors strategically located throughout Orange County.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Budget Fiscal Year 2003-2004 will be the third full budget year for the Division. The Watershed & Coastal Resources Division will have 38 staff to carry out its responsibilities.
- There are no new positions to be added this year.

### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

Public Facilities & Resources Department created the Watershed & Coastal Resources Function last year. The function was created to support watershed management issues identified in the Fiscal Year 2000-2001 Strategic Priority Plan.

### Requested Budget Augmentations and Related Performance Results:

| Unit/Amount                                  | Description  | Performance Plan  | Ref. Num. |
|--|--|---|-----------|
| <b>EXTRA HELP CONVERSION</b><br>Amount: \$ 0 | Convert 1 Environmental Resource Specialist I to regular to comply with MOU. | The conversion will improve service delivery by keeping trained employees on staff. | 034-002   |

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 38                                      | 38   | 38                          | 0                                     | 0.00    |
| Total Revenues     | 12,555,328             | 21,184,250                              | 10,916,332   | 17,442,900                  | 6,526,568                             | 59.79   |
| Total Requirements | 8,047,569              | 21,778,731                              | 16,757,030   | 18,058,188                  | 1,301,158                             | 7.76    |
| Net County Cost    | (4,507,759)            | 594,481                                 | 5,840,698  | 615,288                     | (5,225,410)                           | -89.47  |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Watershed Management Program in the Appendix on page 494.

### Highlights of Key Trends:

- The County is providing leadership in addressing water quality issues on a regional basin and that leadership will be sustained through the Watershed and Coastal Resources Division.
- County is continuing to partner with the Army Corps of Engineers to maximize opportunities for federal funding. Other opportunities for federal assistance are being developed such as with the Bureau of Reclamation and the Environmental Protection agency. Maximum use will be made of grant funding opportunities.



## 040 - UTILITIES

### Operational Summary

#### Description:

Provides utilities and trash collection for City, State, Federal, and County Agencies.

#### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 16,799,150 |
| Total Recommended FY 2003-2004 Budget:        | 21,961,350 |
| Percent of County General Fund:               | 0.90%      |
| Total Employees:                              | 12.00      |

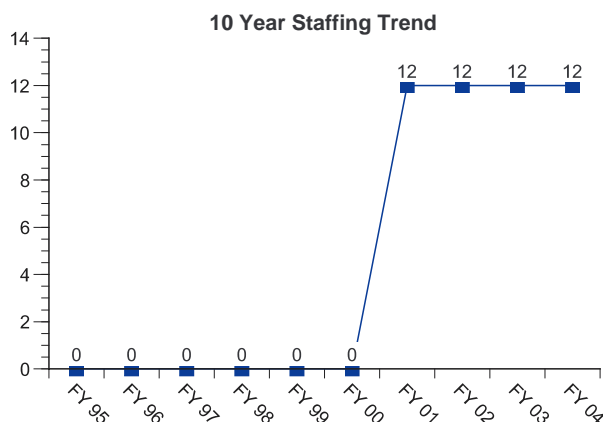
#### Strategic Goals:

- Ensure continuous availability of Central Utility Facility (CUF) to support the needs of served County facilities by completing Phase II and Phase III of the CUF rehabilitation.
- Complete energy consumption audit and develop energy management plan.

#### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Implementing "Strategic Energy Plan" which was approved by the Board that will expedite processing and reporting of our progress and efficiency in energy conservation and management.
- The County's "Strategic Energy Plan" Lighting System Maintenance/Retrofit Lighting System projects for seven facilities completed in FY 2002-03 are funded in the Capital Projects Fund 036.

#### Ten Year Staffing Trend:



### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

Phase II and Phase III of the upgrade to the Central Utilities Facility (CUF) was deferred in FY 2002-03 due to the studies and financing of the Co-generation project. Phase II and Phase III will be complete in FY 2003-04. This project is part of the Deferred Maintenance Strategic Priority.



## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 12                                      | 12   | 12                          | 0                                     | 0.00    |
| Total Revenues     | 733,255                | 887,952                                 | 798,000  | 921,690                     | 123,690                               | 15.50   |
| Total Requirements | 18,784,167             | 18,952,066                              | 17,382,641   | 21,961,350                  | 4,578,709                             | 26.34   |
| Net County Cost    | 18,050,912             | 18,064,114                              | 16,584,641   | 21,039,660                  | 4,455,019                             | 26.86   |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Utilities in the Appendix on page 495.

## 106 - COUNTY TIDELANDS - NEWPORT BAY

### Operational Summary

#### Description:

Provides the public with pleasant and safe boating and other marine recreational and environmental experiences, and maximizes concession revenues to financially support these public programs.

#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 3,645,564 |
| Total Recommended FY 2003-2004 Budget:        | 3,065,404 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

#### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Newport Tidelands funding has accomplished the planning, design and implementation of first phase Eelgrass Restoration in lower Newport Bay.

**COUNTY TIDELANDS/NEWPORT BAY** - This fund is financed by revenue derived from rents and leases of land and improvements on state tidelands in Newport Bay granted in trust to the County, and is for use to benefit those granted lands.

#### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 2,675,081              | 3,552,902                               | 3,653,753  | 3,065,404                   | (588,349)                             | -16.10  |
| Total Requirements | 2,249,328              | 3,552,902                               | 3,653,753  | 3,065,404                   | (588,349)                             | -16.10  |
| Balance            | 425,753                | 0                                       | 0  | 0                           | 0                                     | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: County Tidelands - Newport Bay in the Appendix on page 504.

## 108 - COUNTY TIDELANDS - DANA POINT

### Operational Summary

#### Description:

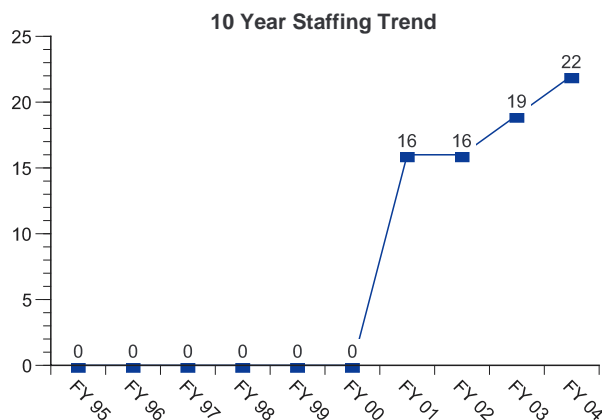
Provides the public with pleasant and safe boating and other marine recreational and environmental experiences, and maximizes concession revenues to financially support these public programs.

#### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Concept plan for initial phases of Dana Point Harbor revitalization has been completed. The next phase of environmental and engineering planning and specifications has been initiated.

**COUNTY TIDELANDS/DANA POINT** - This fund is financed by revenue derived from rents and leases of land improvements on or adjacent to state tidelands in Dana Point Harbor, granted in trust to the County, and is for use to benefit those granted lands. Capital projects are financed from HB&P and repaid as funds are available.

#### Ten Year Staffing Trend:



#### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 18,054,635 |
| Total Recommended FY 2003-2004 Budget:        | 19,068,626 |
| Percent of County General Fund:               | N/A        |
| Total Employees:                              | 22.00      |

#### Ten Year Staffing Trend Highlights:

- An additional 3 staff are being reassigned from Harbors, Beaches and Parks Fund to the Dana Point Tidelands Fund. The total workload for these three positions is at Dana Point and they should charge directly to that fund.

### Budget Summary

#### Requested Budget Augmentations and Related Performance Results:

| Unit/Amount  | Description  | Performance Plan   | Ref. Num. |
|--|--|--|-----------|
| <b>ADD 1 PROCUREMENT SPECIALIST</b><br>Amount:\$ 0 | Position is needed to fulfill current & long range workload related to redeveloping DP Harbor Marina | Complete all work related to redevelopment of the DP Harbor Marina | 108-001   |

## Requested Budget Augmentations and Related Performance Results: (Continued)

| Unit/Amount  | Description  | Performance Plan  | Ref. Num. |
|--|--|---|-----------|
| <b>ADD 1 STAFF ANALYST III</b><br><b>Amount:\$ 0</b> | Position is needed to fulfill current & long range workload related to redeveloping DP Harbor Marina | Complete all budget-related work for redeveloping DP Harbor Marina      | 108-002   |
| <b>ADD 1 BUYER II</b><br><b>Amount:\$ 0</b>          | Position is needed to fulfill current & long range workload related to redeveloping DP Harbor Marina | Complete all Buyer-related duties for redevelopment of DP Harbor Marina | 108-003   |

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 19                                      | 19   | 22                          | 3                                     | 15.79   |
| Total Revenues     | 15,069,418             | 19,834,221                              | 20,364,351   | 19,068,626                  | (1,295,725)                           | -6.36   |
| Total Requirements | 19,203,894             | 19,834,221                              | 19,207,966   | 19,068,626                  | (139,340)                             | -0.73   |
| Balance            | (4,134,476)            | 0                                       | 1,156,385  | 0                           | (1,156,385)                           | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: County Tidelands - Dana Point in the Appendix on page 505.

## 114 - FISH AND GAME PROPAGATION

### Operational Summary

#### Description:

Evaluate and recommend policy to further fish and game habitat and preservation interests and, per Board policy, financially support fish stocking in regional park lakes.

#### At a Glance:

|   |        |
|---|--------|
| Total FY 2002-2003 Projected Expend + Encumb: | 84,947 |
| Total Recommended FY 2003-2004 Budget:        | 27,542 |
| Percent of County General Fund:               | N/A    |
| Total Employees:                              | 0.00   |

**FISH & GAME PROPAGATION** - This fund derives its revenue from fines levied by the State Department of Fish and Game. These revenues are used to enhance public awareness of the County's Fish and Game resources.

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 78,555                 | 102,707                                 | 85,539   | 27,542                      | (57,997)                              | -67.80  |
| Total Requirements | 11,348                 | 102,707                                 | 84,947   | 27,542                      | (57,405)                              | -67.58  |
| Balance            | 67,207                 | 0                                       | 592  | 0                           | (592)                                 | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Fish and Game Propagation in the Appendix on page 508.

# 115 - ROAD

## Operational Summary

### Description:

Road Fund constructs, maintains, and manages the public road system in the unincorporated areas of Orange County. It also provides construction inspection and performs quality assurance inspections related to the construction of infrastructure in the planned communities.

The Fund also provides road maintenance services to the newly incorporated cities under contractual agreements.

### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 45,939,626 |
| Total Recommended FY 2003-2004 Budget:        | 94,821,214 |
| Percent of County General Fund:               | N/A        |
| Total Employees:                              | 213.00     |

### Strategic Goals:

- Construct, maintain and manage road system in unincorporated Orange County.

### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results   | 2003 Business Plan Target  | How are we doing? |
|--|--|--|-------------------|
| <b>ACCIDENTS PER CENTERLINE MILE</b><br><b>What:</b> Number of reported traffic accidents per centerline mile of Unincorporated County roads.<br><b>Why:</b> Indicates safety of roadway system operated by the County.  | 2.0 reported accidents per centerline mile.  | Maintain 2.0 reported accidents per centerline mile.                     | On target.        |
| <b>GOOD OR BETTER PAVEMENT CONDITION FOR ROADS.</b><br><b>What:</b> Percent of Unincorporated County roads with a surface pavement condition rating of "Good".<br><b>Why:</b> Result of good pavement mgmt. practices. Well-maintained pavement costs less to service over time.                             | Unincorporated County road surface pavement rating of Good or better for FY 02-03 is anticipated to be 75%. This reflects the transfer of better roads to newly incorporated cities. | Maintain Good or better rating of County road surface pavement at 96%.   | On target.        |
| <b>ACCEPTABLE OPERATING SPEED AT COUNTY ARTERIAL INTERSECTIONS.</b><br><b>What:</b> Percentage of Uninc Co Arterial Intersections with acceptable operating speed as determined by OCTA.<br><b>Why:</b> Indicates efficiency of roadway system in unincorporated areas as the result of design improvements. | It is anticipated that 94% of the County Arterial Intersections have acceptable operating speed as determined independently by the Orange County Transportation Authority.           | Maintain the County Arterial Intersections with acceptable speed at 94%. | On target.        |

### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Obtain almost \$6.5 million in Measure M allocations for our capital improvement projects.
- Obtained almost \$120,000 in Hazard Safety Elimination (HES) grant for sidewalk construction.
- Spent down the \$4,592,295.42 in AB-2928 allocations for pavement management and road drainage projects received in FY 01-02 as required by the program.

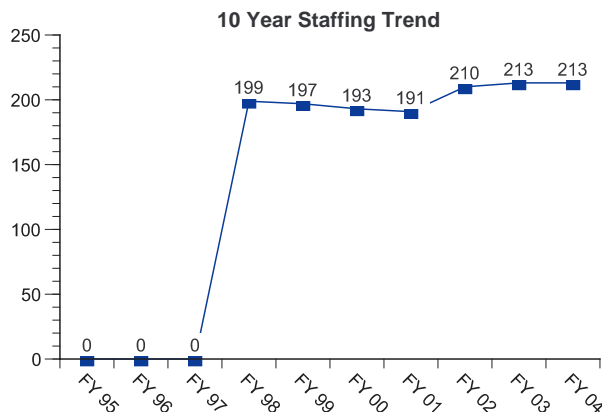
- Spent the \$2,025,980 in AB-2928 allocations for pavement management and road drainage projects received through the first two quarters of FY 02-03 as required by the program.
- Under a cooperative agreement assisted Caltrans in successfully awarding the Laguna Canyon Road project in FY 02-03 as planned. Contract bid opening for the project was on 11/07/02 with a low bid of \$18,382,000.
- Design efforts for the following road projects are near completion: Moulton Parkway Widening, from Laguna Hills Drive to Elm Creek Lane; Glassell Street Bridge over Santa Ana River; Cypress Acres Drainage Improvements at Belmont Street and La Salle Street; Tustin Avenue at Orangethorpe; and Santiago Canyon Road Bridge Retrofit at Santiago Creek. Construction is anticipated in fiscal year 2003-04.

**ROAD** - Special District - Administer County's Road Fee Program and Special Funding Districts.

Road Program - Develop and administer County's transportation capital improvement program and secure grant funding.

Traffic Engineering - Conduct traffic safety investigations, provide traffic committee support and plan, design & operate traffic signal systems.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Road fund does not have a staffing history before FY 1997-98 as all staff were assigned to the PFRD Operating Fund and billed to the various PFRD funds via direct and indirect billing from the Operating Fund. For FY 2001-02 one limited term position was approved by the Board for increased street sweeping related services to mitigate urban runoff. This position was converted to a regular full time position with the FY 2001-02 third quarter budget adjustments submitted to the board.

## Budget Summary

### Changes Included in the Recommended Base Budget:

Due to the state budget shortfall and reduction/elimination of program revenue from the state the AB 2928 revenue for road maintenance has been excluded from the FY 2003-04 budget. It is anticipated that this revenue will not be forthcoming due to the state budget shortfall.

## Requested Budget Augmentations and Related Performance Results:

| Unit/Amount                                 | Description  | Performance Plan  | Ref. Num. |
|---|--|---|-----------|
| <b>EXTRA HELP CONVERSION</b><br>Amount:\$ 0 | Convert 1 Prof Svcs Asst II & 1 Surveyor I from EH to regular to comply with MOUs. | The conversion will improve service delivery by keeping trained employees on staff. | 115-001   |

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 213                                     | 213  | 213                         | 0                                     | 0.00    |
| Total Revenues     | 85,623,937             | 85,110,201                              | 77,904,660   | 94,821,214                  | 16,916,554                            | 21.71   |
| Total Requirements | 66,291,834             | 85,110,201                              | 51,769,380   | 94,821,214                  | 43,051,834                            | 83.16   |
| Balance            | 19,332,102             | 0                                       | 26,135,280   | 0                           | (26,135,280)                          | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Road in the Appendix on page 509.

## Highlights of Key Trends:

- It is possible due to the State Budget troubles that there may not be any more AB-2928 allocations for the remainder of FY 02-03 and for FY 03-04. If this occurs

pavement management and roadway drainage projects will be funded by the Road Funds. Capital Project improvements will also continue to draw down on the Road Reserves in future fiscal years.



## 128 - SURVEY MONUMENT PRESERVATION

### Operational Summary

#### Description:

California Gov't Code Sec 27584 authorizes this fund to pay for County surveyor cost for retracement or remonument surveys of major historical land division lines upon which later surveys are based.

#### At a Glance:

|   |         |
|---|---------|
| Total FY 2002-2003 Projected Expend + Encumb: | 7,438   |
| Total Recommended FY 2003-2004 Budget:        | 242,359 |
| Percent of County General Fund:               | N/A     |
| Total Employees:                              | 0.00    |

**SURVEY MONUMENT PRESERVTN FUND** - This balanced fund receives revenue from fees charged by the County Recorder for filing and recording grant deeds. These funds are dedicated to retracement and remonument services performed by the Orange County Surveyor's Office.

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |          |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|----------|
|                    |                        |   |  |                             | Amount                                | Percent  |
| Total Revenues     | 103,818                | 170,217                                 | 171,497  | 242,359                     | 70,862                                | 41.32    |
| Total Requirements | 9,201                  | 170,217                                 | 7,438  | 242,359                     | 234,921                               | 3,158.39 |
| Balance            | 94,617                 | 0                                       | 164,059  | 0                           | (164,059)                             | -100.00  |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Survey Monument Preservation in the Appendix on page 515.

## 129 - OFF-HIGHWAY VEHICLE FEES

### Operational Summary

#### Description:

Support facilities for off-highway vehicle use if and when such facilities are established, and monitor and control illegal use of off-highway vehicles in regional open space and wilderness parks.

#### At a Glance:

|   |         |
|---|---------|
| Total FY 2002-2003 Projected Expend + Encumb: | 55,798  |
| Total Recommended FY 2003-2004 Budget:        | 216,864 |
| Percent of County General Fund:               | N/A     |
| Total Employees:                              | 0.00    |

**OFF-HIGHWAY VEHICLE FEES** - This fund is financed by revenue from registration fee for off-highway vehicle parks. Allowable uses of funds are: 1) planning, constructing, or operating facilities for use of off-highway vehicles; 2) controlling operations of motor vehicles where their use is prohibited; and 3) repairing facilities. Presently, only #2 is being done.

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 172,459                | 185,410                                 | 187,162  | 216,864                     | 29,702                                | 15.87   |
| Total Requirements | 58,549                 | 185,410                                 | 55,798   | 216,864                     | 161,066                               | 288.66  |
| Balance            | 113,910                | 0                                       | 131,364  | 0                           | (131,364)                             | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Off-Highway Vehicle Fees in the Appendix on page 516.

## 137 - PARKING FACILITIES

### Operational Summary

#### Description:

To provide, operate, and maintain parking facilities for County employees and for the public conducting business with the County.

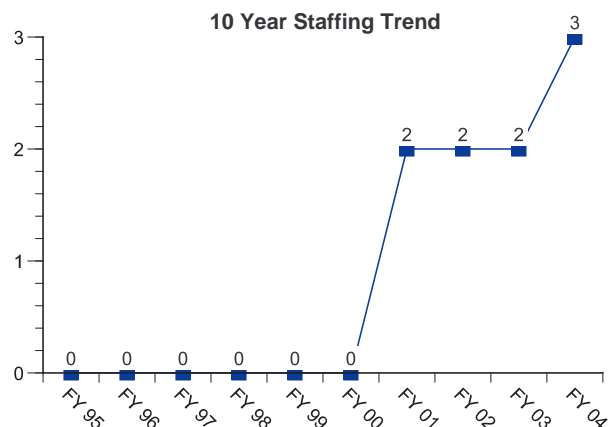
#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 4,706,831 |
| Total Recommended FY 2003-2004 Budget:        | 4,740,921 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 3.00      |

**PARKING FACILITIES** - This fund is used to account for cost and revenue associated with providing parking facilities to the public and employees. Segregating these funds allows for analysis of the parking facilities impact to the General Fund. Included in this fund are operating and maintenance cost for all County-owned parking lots, parking revenue associated with County-owned pay parking lots (Manches-

ter, Hall of Administration, and Hutton Twin Towers lots), parking spaces leased by the County, and the County's cost associated with the Civic Center Authority lots. This fund provides financing to meet debt service obligations for the Manchester parking structures.

#### Ten Year Staffing Trend:



### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 3                                       | 3  | 3                           | 0                                     | 0.00    |
| Total Revenues     | 6,152,700              | 5,089,178                               | 5,339,808  | 4,740,921                   | (598,887)                             | -11.22  |
| Total Requirements | 4,930,341              | 5,089,178                               | 4,827,487  | 4,740,921                   | (86,566)                              | -1.79   |
| Balance            | 1,222,359              | 0                                       | 512,321  | 0                           | (512,321)                             | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Parking Facilities in the Appendix on page 517.

# 148 - FOOTHILL CIRCULATION PHASING PLAN

## Operational Summary

### Description:

Provide for construction and acquisition of road, bridges and intersection improvements as outlined by FCPP adopted September 15, 1987.

### Strategic Goals:

- Complete required projects according to priority and stay within the financial constraints of bond financing.

### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Constructed retaining wall at El Toro Road/I-5 undercrossing \$425,000.
- Executed Agreement D01-015 with City of Lake Forest for El Toro Road funding \$ 4.2M.
- Approved RBF contract for Alton Parkway environmental and design \$1.5M.
- Approved City of Mission Viejo FCPP Intersection Payments \$1.7.

**FOOTHILL CIRCULATION PHASING** - Construction and acquisition of roads, bridges and intersection improvements.

### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 1,239,888 |
| Total Recommended FY 2003-2004 Budget:        | 7,072,300 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

## Budget Summary

### Changes Included in the Recommended Base Budget:

Alton Parkway right-of-way included in FY 2003-04 budget at \$4,000,000.

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | (680,425)              | 4,557,160                               | 1,450,415  | 7,072,300                   | 5,621,885                             | 387.61  |
| Total Requirements | 2,332,415              | 4,557,160                               | 4,248,143  | 7,072,300                   | 2,824,157                             | 66.48   |
| Balance            | (3,012,840)            | 0                                       | (2,797,728)  | 0                           | 2,797,728                             | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Foothill Circulation Phasing Plan in the Appendix on page 519.

# 15K - LIMESTONE REGIONAL PARK MITIGATION ENDOWMENT

## Operational Summary

### Description:

Board of Supervisors Minute Order of 11/15/94 authorized establishment of this fund. The interest from this fund is to be spent on the maintenance cost for a proposed wetlands mitigation area in the future Limestone Regional Park.

#### At a Glance:

|   |        |
|---|--------|
| Total FY 2002-2003 Projected Expend + Encumb: | 261    |
| Total Recommended FY 2003-2004 Budget:        | 40,079 |
| Percent of County General Fund:               | N/A    |
| Total Employees:                              | 0.00   |

**LIMESTONE RP MITIG MAINT ENDOW** - This is a non-expendable endowment and trust fund. Interest earnings from a \$200,000 contribution from Foothill/Eastern Transportation Corridor Agency will be used for annual repairs and maintenance of a proposed mitigation area within the future boundary of Limestone Regional Park.

## Budget Summary

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |           |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|-----------|
|                    |                        |   |  |                             | Amount                                | Percent   |
| Total Revenues     | 28,705                 | 43,449                                  | 33,840   | 40,079                      | 6,239                                 | 18.44     |
| Total Requirements | 256                    | 43,449                                  | 261  | 40,079                      | 39,818                                | 15,255.94 |
| Balance            | 28,449                 | 0                                       | 33,579   | 0                           | (33,579)                              | -100.00   |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Limestone Regional Park Mitigation Endowment in the Appendix on page 526.

## 400 - FLOOD CONTROL DISTRICT

### Operational Summary

#### Description:

Flood Fund provides flood protection Countywide by operating and maintaining the Orange County Flood Control District's 350 miles of flood control channels, dams, retarding basins, six pump stations, and other flood control infrastructure that PFRD continues to design and construct. Rainfall and stormwater flows throughout the County are monitored twenty-four hours a day for potential storm damage and to enable a quick response in the event of danger.

#### Strategic Goals:

- Provide regional flood protection for Orange County.
- Develop Alternative Funding Sources for Water Quality and Watershed Efforts.
- Incorporate Water Quality and Habitat Enhancements Into Flood Control Infrastructure.
- Perform Flood Control Maintenance Within A Regulatory Environment.
- Acquire Regulatory Permit Approval for Capital Project Construction.
- Work with the United States Army Corps of Engineers to complete the Santa Ana River Mainstem Project.
- Participate in watershed studies to identify other flood control protection requirements throughout the county.

#### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results   | 2003 Business Plan Target | How are we doing?  |
|--|--|---------------------------|--|
| <b>PERCENT OF CHANNEL MILES CONSTRUCTED FOR 100 YEAR FLOOD.</b><br><b>What:</b> Percentage of OCFCD Channel Miles Constructed to Convey 100-Year Storm.<br><b>Why:</b> Indicates degree of protection and the remaining threat of flood damage.        | N/A.   | Pending.                  | Currently 43.2% of flood control channels are capable of providing 100 year level of flood protection.   |
| <b>FLOOD INSURANCE REQUIREMENT IN ORANGE COUNTY.</b><br><b>What:</b> Number of flood insurance policies required in Orange County.<br><b>Why:</b> Indicates flood insurance in unprotected areas that the department will reduce through improvements. | It is anticipated that the number of insurance policies required in orange county will decrease from 41,821 to 34,500. | Pending.                  | The number of mandatory flood insurance policies, currently at 34,500 in Orange County, is anticipated to be reduced as flood control improvements currently in the FY 03-04 budget are implemented. |

#### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 56,217,834 |
| Total Recommended FY 2003-2004 Budget:        | 82,727,896 |
| Percent of County General Fund:               | N/A        |
| Total Employees:                              | 250.00     |

## Key Outcome Indicators: (Continued)

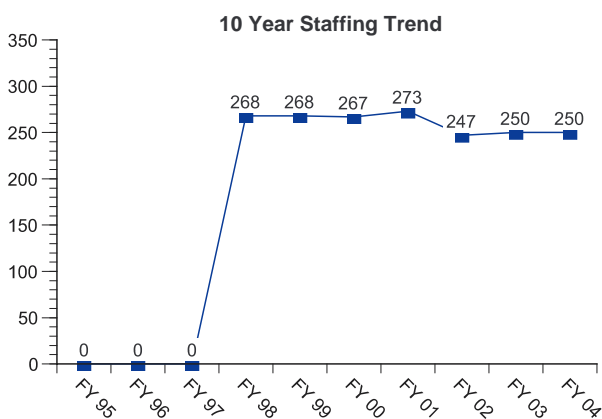
| Outcome Indicator   | 2002 Business Plan Results   | 2003 Business Plan Target   | How are we doing?   |
|---|--|-----------------------------|---|
| <b>FLOOD INSURANCE PREMIUM PAID BY ORANGE COUNTY RESIDENTS AND BUSINESSES.</b><br><b>What:</b> Total premiums paid for flood insurance in Orange County.<br><b>Why:</b> Indicates the cost of flood insurance that the department will seek to reduce through improvements. | It is anticipated that Orange County residents will pay \$16,457,000 for flood insurance premiums in FY 2002-03. | Pending.                    | The amount of insurance premiums paid in Orange County, which is currently at \$16,457,000, is expected to reduce as the mandatory flood insurance policies are eliminated as flood control improvements in FY 03-04 are completed. |
| <b>REPORT CARD GRADE.</b><br><b>What:</b> Flood Control Infrastructure Report Card Grade.<br><b>Why:</b> Shows the quality of construction & maintenance as reported by American Society of Civil Engineers.  | Anticipate Grade "C".  | Grade issued every 5 years. | The ratings by ASCE will be accomplished independently.   |

## Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Completion of Talbert Channel improvements.
- Completion of Huntington Beach Channel improvements.
- Completion of Seven Oaks Dam and improvements to Lower Santa Ana River by the United States Army Corps of Engineers provided major flood protection in Orange County.

**FLOOD CONTROL DISTRICT** - Designs, builds and maintains flood control channels and other flood protection facilities. Monitors potentially severe storm events and responds to emergencies. Complies with National Clean Water Act standards and related regulations for creeks, rivers and stormwater. Acquires land and easements needed to construct flood control projects.

### Ten Year Staffing Trend:



## Budget Summary

### Plan for Support of the County's Strategic Priorities:

The Flood Control District along with Road & HB&P Funds will support the PFRD/Watershed Management Program Strategic Priorities in Fiscal Year 2003-2004. The Flood Control District has budgeted to contribute approximately \$5 million to various Watershed efforts. This contribution will aid in the implementation of Watershed Management Programs and Storm Water Quality Programs.

## Requested Budget Augmentations and Related Performance Results:

| Unit/Amount  | Description  | Performance Plan  | Ref. Num. |
|--|--|---|-----------|
| <b>EXTRA HELP CONVERSION</b><br><b>Amount:\$ 0</b> | Convert 2 laborer, 1 Staff Asst, 1 Surveyor, & 1 Office Spec from EH to regular to comply with MOUs. | The conversion will improve service delivery by keeping trained employees on staff. | 400-001   |

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 250                                     | 250  | 250                         | 0                                     | 0.00    |
| Total Revenues     | 94,010,146             | 87,300,983                              | 92,274,378   | 82,727,896                  | (9,546,482)                           | -10.35  |
| Total Requirements | 80,975,076             | 87,300,983                              | 83,149,424   | 82,727,896                  | (421,528)                             | -0.51   |
| Balance            | 13,035,069             | 0                                       | 9,124,954  | 0                           | (9,124,954)                           | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Flood Control District in the Appendix on page 550.

## Highlights of Key Trends:

- The diversion of flood control funds to support the Watershed Management Program will reduce the long term flood control capital improvement program unless new funding sources are identified to address the Watershed and Costal Resources needs.



## 403 - SANTA ANA RIVER ENVIRONMENTAL ENHANCEMENT

### Operational Summary

#### Description:

Provide for the environmental enhancement of the Santa Ana River between Katella Avenue and Imperial Highway per agreement with Orange County Water District.

#### At a Glance:

|   |         |
|---|---------|
| Total FY 2002-2003 Projected Expend + Encumb: | 1,350   |
| Total Recommended FY 2003-2004 Budget:        | 237,877 |
| Percent of County General Fund:               | N/A     |
| Total Employees:                              | 0.00    |

**SAR ENVIRONMENTL ENHNCEMNT FND** - Provide for the environmental enhancement of the Santa Ana River between Katella Avenue and Imperial Highway.

#### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |           |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|-----------|
|                    |                        |   |  |                             | Amount                                | Percent   |
| Total Revenues     | 224,996                | 237,227                                 | 231,602  | 237,877                     | 6,275                                 | 2.71      |
| Total Requirements | 1,769                  | 237,227                                 | 1,725  | 237,877                     | 236,152                               | 13,689.97 |
| Balance            | 223,227                | 0                                       | 229,877  | 0                           | (229,877)                             | -100.00   |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Santa Ana River Environmental Enhancement in the Appendix on page 551.

## 404 - FLOOD CONTROL DISTRICT - CAPITAL

### Operational Summary

#### Description:

Remove the flood threat from the Santa Ana River (SAR).

#### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 3,017,858  |
| Total Recommended FY 2003-2004 Budget:        | 88,817,186 |
| Percent of County General Fund:               | N/A        |
| Total Employees:                              | 0.00       |

#### Strategic Goals:

- Complete construction of the SAR Mainstem Project.
- Obtain additional funding to complete SAR project, including Prado Dam.

#### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- 2003 Federal Budget Includes \$35 Million for SAR/Prado Dam Project; Requesting Support from Local and State Representatives for 2004 Budget.
- Total State Subvention Reimbursements Between July 2002-2003 could be up to \$17 Million.
- Prado Dam Project Cooperation Agreement between the U.S. Army and OCFCD Approved.
- Green River Golf Course Acquisition Cost Share Agreement Approved by All Local Sponsors' Boards of Supervisors.
- Santa Ana River Interceptor (SARI) Line Protection at Prado Dam Cost Share Agreement Approved.
- Construction Started on SAR/Reach 9, and Prado Dam Embankment Modifications.
- Anticipate Acquiring Additional 114 Acres of Property in the Prado Basin by June 2003.
- Prepared Updated Prado Dam Relocation Assistance Program Plan.
- Prepared Updated Prado Dam Real Property Acquisition Plan (To Make Offers for 455 Additional Acres by Fall 2004).
- Managing 205 Acres of Property in Riverside and San Bernardino Counties Acquired to Date for Prado Dam Project.
- Turnover of Seven Oaks Dam to Local Sponsors Completed.
- Biological Opinion for Flood Control Operation of Seven Oaks Dam completed.

**FLOOD CONTROL DIST - CAPITAL** - Provide overall Project Management and Coordination with U.S. Army Corps of Engineers on requirements to meet SAR and Prado Dam Project construction schedule. Endeavor to obtain additional funding to complete SAR projects including Prado Dam.

#### Ten Year Staffing Trend Highlights:

- Staff is not budgeted within this budget unit.

### Budget Summary

#### Changes Included in the Recommended Base Budget:

Increased the amount budgeted for land acquisitions from \$50 million to \$75 million.

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |          |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|----------|
|                    |                        |   |  |                             | Amount                                | Percent  |
| Total Revenues     | 64,106,134             | 67,334,642                              | 67,426,301   | 88,817,186                  | 21,390,885                            | 31.72    |
| Total Requirements | 8,996,493              | 67,334,642                              | 6,138,390  | 88,817,186                  | 82,678,796                            | 1,346.91 |
| Balance            | 55,109,642             | 0                                       | 61,287,910   | 0                           | (61,287,910)                          | -100.00  |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Flood Control District - Capital in the Appendix on page 552.

## Highlights of Key Trends:

- With Prado Dam Project Cooperation Agreement approved by U.S. Army in February 2003, the OCFCD is fully activating property acquisition program, which will result in a higher rate of expenditure over the next four years, as compared to the prior four years.

## 405 - HARBORS, BEACHES AND PARKS CSA No. 26

### Operational Summary

#### Description:

PFRD provides regional recreational facilities and manages historical and natural resources. The Department operates a countywide system of 12 regional parks, five wilderness parks, three nature preserves, nine beaches, three harbors, 300 miles of recreational trails, and seven historical sites. Resources that PFRD manages include 38,000 acres of native habitat lands, the County's archeological and paleontological collections and the Orange County Zoo.

#### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 52,865,285 |
| Total Recommended FY 2003-2004 Budget:        | 74,748,470 |
| Percent of County General Fund:               | N/A        |
| Total Employees:                              | 245.00     |

#### Strategic Goals:

- HBP- Provide Regional Recreational Facilities and Manage Historical and Natural Resources.

#### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results | 2003 Business Plan Target | How are we doing? |
|--|----------------------------|---------------------------|-------------------|
| <b>PARK RATING SYSTEM FOR VISITORS.</b><br><b>What:</b> Percentage of visitors rating the quality of parks as good or excellent as reported in a survey.<br><b>Why:</b> Indicates quality of opportunities for people to learn, relax, recreate, and interact. | Not applicable.            | Survey under development. | On target.        |
| <b>PARK RATING SYSTEM FOR TRAINED OBSERVERS.</b><br><b>What:</b> Average quality ratings by trained observers using a consistent observer rating form.<br><b>Why:</b> Indicates quality of opportunities for people to learn, relax, recreate, and interact.   | Not applicable.            | Survey under development. | On target.        |
| <b>BEACH RATING SYSTEM FOR VISITORS.</b><br><b>What:</b> Percentage of residents rating the beach quality as good or excellent as reported in a survey.<br><b>Why:</b> Indicates quality of opportunities for people to learn, relax, recreate, and interact.  | Not applicable.            | Survey under development. | On target.        |

## Key Outcome Indicators: (Continued)

| Outcome Indicator  | 2002 Business Plan Results | 2003 Business Plan Target | How are we doing? |
|--|----------------------------|---------------------------|-------------------|
| <b>BEACH RATING SYSTEM FOR TRAINED OBSERVERS.</b><br><b>What:</b> Average quality ratings by trained observers reviewing beach condition using a standard rating form.<br><b>Why:</b> Indicates quality of opportunities for people to learn, relax, recreate, and interact.         | Not applicable.            | Survey under development. | On target.        |
| <b>TARGETED SPECIES MEASUREMENT SYSTEM.</b><br><b>What:</b> % increase of targeted species existing compared to base measured by the County's Conservation prog.<br><b>Why:</b> Indicates progress in preserving & protecting Orange County's natural areas and open space habitats. | 1.3%                       | 1.3%                      | On target.        |
| <b>ACREAGE MANAGEMENT SYSTEM.</b><br><b>What:</b> Cumulative number of acres managed as open space.<br><b>Why:</b> Indicates result of preserving and protecting Orange County's natural areas and open space habitats.  | 300 Acres                  | 300 acres.                | On target.        |
| <b>NATIVE VEGETATION RESTORATION SYSTEM.</b><br><b>What:</b> Percent of total land managed by HBP which has been restored with native vegetation<br><b>Why:</b> Indicates result of restoring County's natural areas and open space habitats to native state.                        | .25%                       | .25%                      | On target.        |

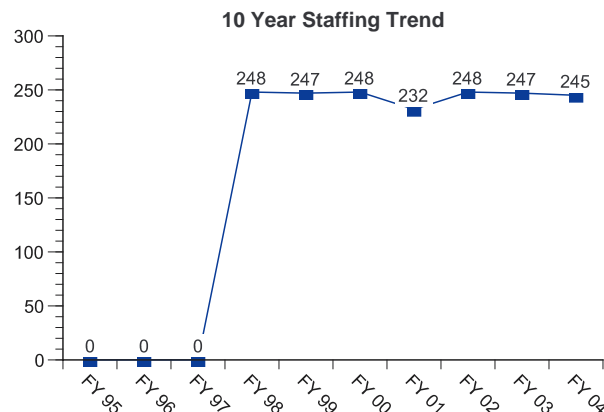
## Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Harbors, Beaches and Parks purchased Barham Ranch (509) acres for expansion of Santiago Oaks Park at a cost of \$4.6 million. Additionally, HBP initiated first phase (\$1.7 million) development of Wieder Park. HBP advanced the concept design of the Laguna Coast Park nature center and completed the plans and specifications for the Irvine
- Park sanitary sewer conversion.

**HARBORS, BEACHES & PARKS** - This budget funds the operation and maintenance of the County's Regional Harbors, Beaches & Parks system. This fund also finances some capital projects at Dana Point Tidelands and Newport Tidelands depending on priority of projects and availability of funding. Tidelands revenues are used to reimburse this fund

for those projects as funds are available.

## Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Public Facilities & Resources Department (PFRD) came into existence in Fiscal Year 1997-1998. The Harbors, Beaches, & Parks Division included 248 staff positions at that time.
- Harbors, Beaches & Parks current staff level is 248 positions. An augmentation is being submitted which will reassign three positions from this fund to the Dana Point Tidelands fund 108. These three positions workload is entirely in the tidelands fund and so they should be budgeted there.

### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

Harbors, Beaches & Parks Fund (Agency 405) will support the PFRD/Watershed & Coastal Resources Function (Fund 100-Agency 034) Strategic Priorities Plan in Fiscal Year 2002-2003. The Harbors, Beaches & Parks Fund is budgeted to contribute approximately \$1.4M to various Watershed Strategic Priority projects. This contribution will aid in the implementation of Watershed Management Programs and Storm Water Quality Programs. These funds will also be used to coordinate compliance with the Federal Total Maximum Daily Load (TMDL) for impaired waters.

### Requested Budget Augmentations and Related Performance Results:

| Unit/Amount                                  | Description   | Performance Plan  | Ref. Num. |
|--|---|---|-----------|
| <b>EXTRA HELP CONVERSION<br/>Amount:\$ 0</b> | Convert 19 positions from EH to regular to comply with MOUs | The conversion will improve service delivery by keeping trained employees on staff. | 405-001   |

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 248                                     | 248  | 245                         | (3)                                   | -1.21   |
| Total Revenues     | 58,205,981             | 81,826,714                              | 74,071,715   | 74,748,470                  | 676,755                               | 0.91    |
| Total Requirements | 48,524,053             | 81,826,714                              | 58,911,655   | 74,748,470                  | 15,836,815                            | 26.88   |
| Balance            | 9,681,928              | 0                                       | 15,160,060   | 0                           | (15,160,060)                          | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Harbors, Beaches and Parks CSA No. 26 in the Appendix on page 553.

## 458 - COUNTY SERVICE AREA #4 - LEISURE WORLD

### Operational Summary

#### Description:

Provide for development and maintenance of local public parks, residential street sweeping and road related landscape maintenance.

This fund was closed 6/30/02. Was not budgeted for FY 03/04. Aliso Viejo assumed all responsibilities for fund as of 7/1/02.

#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 1,055,042 |
| Total Recommended FY 2003-2004 Budget:        | 0         |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

**CSA #4 LEISURE WORLD** - This fund appropriates revenue for landscape maintenance, park operations and maintenance, and street sweeping services in a previously unincorporated area.

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 1,067,383              | 1,059,239                               | 1,060,262  | 0                           | (1,060,262)                           | -100.00 |
| Total Requirements | 352,602                | 1,059,239                               | 1,060,262  | 0                           | (1,060,262)                           | -100.00 |
| Balance            | 714,781                | 0                                       | 0  | 0                           | 0                                     | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: County Service Area #4 - Leisure World in the Appendix on page 560.

## 459 - N. TUSTIN LANDSCAPE & LIGHTING ASSESSMENT DIST.

### Operational Summary

#### Description:

Provide for the acquisition, development and maintenance of local public parks and equestrian trails, and road-related landscape maintenance.

#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 205,169   |
| Total Recommended FY 2003-2004 Budget:        | 1,184,452 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

**N/TUSTIN LNDSCP/LTG ASMT DST** - This fund appropriates revenue for park landscape, equestrian trails and road related maintenance in an unincorporated area.

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 1,030,019              | 1,058,660                               | 1,090,735  | 1,184,453                   | 93,718                                | 8.59    |
| Total Requirements | 320,531                | 1,058,660                               | 258,104  | 1,184,453                   | 926,349                               | 358.90  |
| Balance            | 709,489                | 0                                       | 832,630  | 0                           | (832,630)                             | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: N. Tustin Landscape & Lighting Assessment Dist. in the Appendix on page 561.



## 468 - COUNTY SERVICE AREA #13 - LA MIRADA

### Operational Summary

#### Description:

Provide for residential street sweeping and maintenance of local collector sewers.

**CSA #13 LA MIRADA** - This fund appropriates revenue for sewer maintenance and street sweeping services in an unincorporated area.

### Budget Summary

#### At a Glance:

|   |       |
|---|-------|
| Total FY 2002-2003 Projected Expend + Encumb: | 5,873 |
| Total Recommended FY 2003-2004 Budget:        | 7,123 |
| Percent of County General Fund:               | N/A   |
| Total Employees:                              | 0.00  |

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 7,364                  | 8,101                                   | 7,681  | 7,123                       | (558)                                 | -7.27   |
| Total Requirements | 5,555                  | 8,101                                   | 5,873  | 7,123                       | 1,250                                 | 21.28   |
| Balance            | 1,809                  | 0                                       | 1,808  | 0                           | (1,808)                               | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: County Service Area #13 - La Mirada in the Appendix on page 562.

## 475 - COUNTY SERVICE AREA #20 - LA HABRA

### Operational Summary

#### Description:

Provide for sewer maintenance services in an unincorporated area.

#### At a Glance:

|   |        |
|---|--------|
| Total FY 2002-2003 Projected Expend + Encumb: | 2,550  |
| Total Recommended FY 2003-2004 Budget:        | 18,902 |
| Percent of County General Fund:               | N/A    |
| Total Employees:                              | 0.00   |

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 16,751                 | 18,634                                  | 18,479   | 18,902                      | 423                                   | 2.29    |
| Total Requirements | 7,354                  | 18,634                                  | 9,026  | 18,902                      | 9,876                                 | 109.42  |
| Balance            | 9,397                  | 0                                       | 9,453  | 0                           | (9,453)                               | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: County Service Area #20 - La Habra in the Appendix on page 563.

## 477 - COUNTY SERVICE AREA #22 - EAST YORBA LINDA

### Operational Summary

#### Description:

Provide for park landscape maintenance services of a local public park in an unincorporated area.

This Fund may be transferred to the City of Yorba Linda during FY 03/04

#### At a Glance:

|   |        |
|---|--------|
| Total FY 2002-2003 Projected Expend + Encumb: | 44,354 |
| Total Recommended FY 2003-2004 Budget:        | 43,390 |
| Percent of County General Fund:               | N/A    |
| Total Employees:                              | 0.00   |

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 56,692                 | 51,389                                  | 52,852   | 43,390                      | (9,462)                               | -17.90  |
| Total Requirements | 42,720                 | 51,389                                  | 46,634   | 43,390                      | (3,244)                               | -6.96   |
| Balance            | 13,972                 | 0                                       | 6,218  | 0                           | (6,218)                               | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: County Service Area #22 - East Yorba Linda in the Appendix on page 564.

## 506 - IRVINE COAST ASSESS. DISTRICT 88-1 -CONSTRUCTION

### Operational Summary

#### Description:

Construct and/or acquire road improvements funded from bond proceeds issued on behalf of the Assessment District.

#### Strategic Goals:

- All goals, projects and activities related to this fund have been completed. CEO Bond Financing is working on paying off the bonds and closing this fund.

#### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Projects associated with this fund have all been completed and this fund is slated to be closed in the near future.

**88-1 IRVINE COAST** - Activities related to this fund have been completed and the fund is in the process of being closed.

#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 5,601,145 |
| Total Recommended FY 2003-2004 Budget:        | 1,788,382 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

### Budget Summary

#### Changes Included in the Recommended Base Budget:

CEO Bond Financing is working on paying off the bonds early and closing the fund.

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 31,758,944             | 8,272,307                               | 7,359,527  | 1,788,382                   | (5,571,145)                           | -75.70  |
| Total Requirements | 24,651,636             | 8,272,307                               | 5,601,145  | 1,788,382                   | (3,812,763)                           | -68.07  |
| Balance            | 7,107,307              | 0                                       | 1,758,382  | 0                           | (1,758,382)                           | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Irvine Coast Assess. District 88-1 -Construction in the Appendix on page 565.

# 120 - PUBLIC LIBRARY

## Operational Summary

### Mission:

To enhance the quality of life for County residents by offering access to information and other resources for leisure opportunities, learning, business and community interaction.

### Strategic Goals:

- Provide opportunities for the population to meet their learning and leisure needs through a variety of media and delivery systems.
- Promote and facilitate community interaction in learning, cultural and recreational activities.

### Key Outcome Indicators:

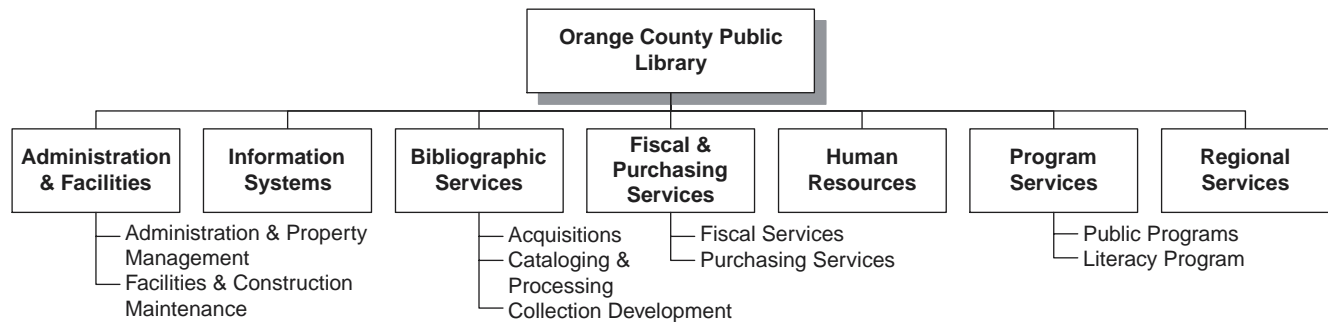
| Outcome Indicator   | 2002 Business Plan Results   | 2003 Business Plan Target   | How are we doing?  |
|---|--|---|--|
| <b>CUSTOMER SATISFACTION AND UTILIZATION OF LIBRARY SERVICES</b><br><b>What:</b> Measures use of library products and services.<br>Conduct surveys Measures use of technology<br><b>Why:</b> Quantifies delivered service<br>Identifies customer needs and desires Identifies ROI in technology | 6,744,677 items borrowed (+2%); 129,782 children attended programs (+7%);<br>Develop survey instrument and methodology; 430,000 hours of internet access provided; 811,612 remote website connections (+56%) | 6,947,016 items borrowed(+3%); 136,272 children attend programs (+5%);<br>Conduct survey, review and report results; 450,000 hours of internet access provided; 973,934 remote website connections (+20%) | Items borrowed and children attended programs are increasing. New all time records have been set.<br>We are commencing the process of the survey of users and non-users. Public response for use of new information technology is exceeding our projections. |
| <b>PROGRESS IN CONSTRUCTING LIBRARY FACILITIES ON SCHEDULE AND WITHIN BUDGET</b><br><b>What:</b> Measures our ability to complete construction plans providing facilities for library activity<br><b>Why:</b> Growing population requires increased library infrastructure                      | Opened Foothill Ranch Branch in February 2003.<br>Begin construction on Wheeler Branch.  | Operate Foothill Ranch.<br>Open and operate Ladera Ranch August 2003. Open Wheeler Ranch February 2004.   | Projects are on schedule.  |

### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- 6,744,677 borrowed, a two percent increase.
- 129,782 children attended programs, a seven percent increase. Develop survey instrument and methodology for user and nonuser survey.
- 430,000 hours of internet access provided to the public. 811,612 remote website connections, a fifty-six percent increase.
- Opened Foothill Ranch branch February 2003. Begin construction on Wheeler Branch.

- Open Ladera Ranch branch in August 2003.

## Organizational Summary



**ADMINISTRATION & FACILITIES** - Manages maintenance and construction of all library facilities, real estate functions, overall administrative coordination, delivery service, warehouse operations, and support for the Library Advisory Board.

**INFORMATION SYSTEMS** - Provides support, planning and management of all library computer systems including internal operations network, interfaces with other County systems, circulation and other internal mainframe functions, patron access catalog and remote access databases, public and staff internet access, and library web site.

**BIBLIOGRAPHIC SERVICES** - Manages evaluation and selection of books, databases, periodicals and all other library materials, orders and receives all new materials, catalogs and processes new materials for use in libraries and repairs damaged materials.

**FISCAL & PURCHASING SERVICES** - Manages the overall financial operations of the Library, including the budget, purchasing, developer agreement management, operational allocation process, payroll and accounts payable.

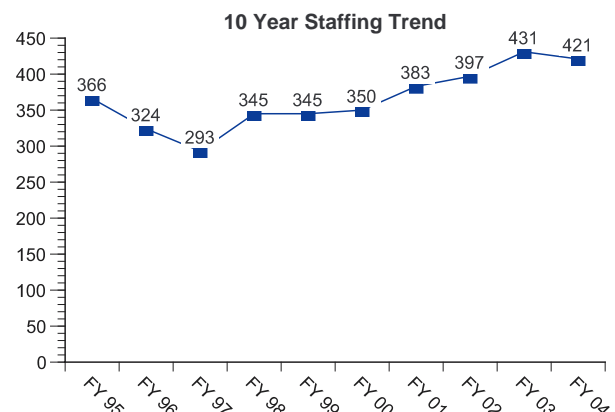
**HUMAN RESOURCES** - Manages all personnel and HR functions including recruitments, Performance Incentive Program coordination, staff training, disciplinary actions and departmental Labor Management Committee.

**PROGRAM SERVICES** - Provides coordination of Children's Services program systemwide, public relations, Orangewood Children's Home Library, Adult Literacy program and Adult Services planning.

**REGIONAL SERVICES** - Manages operations of 29 branch libraries, public services provided in libraries, and coordination of construction/renovation projects.

**COUNTY LIBRARIAN** - Provides overall management of department and serves as staff to Library Advisory Board.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Staffing generally decreased until FY 97-98 because of the loss of funds due to the ERAF shift. Staffing has expanded starting in FY 97-98 with the addition of more days per week of public service at all branch libraries, with the assumption of the decentralized functions of Human Resources, Purchasing, Facilities maintenance and construction and the addition of new libraries in Aliso Viejo, Costa Mesa, Laguna Hills, Foothill Ranch and Ladera Ranch scheduled to open in August 2003. A net reduction of 10 positions for FY

2003-04 from the Final Budget in FY 2002-03 will be used to help offset a reduction in State Public Library Fund revenue.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

The Orange County Public Library will continue to work with the Library Advisory Board to provide and develop services responsive to the communities we serve. Staff will continue to implement and refine the organizational measurement program (ROG) and the employee pay for performance program (PIP). In line with the Strategic Plan, the Library will continue to operate solely through dedicated Library Fund revenue sources, maintaining a Net County Cost of zero.

### Changes Included in the Recommended Base Budget:

The Library is deleting a net of ten positions from the 431 positions approved in the Final Budget for FY 2002-03 to effect an efficient operation and still maintain a minimum level of service as established by the Library Advisory Board. The budget also reflects a decrease in revenue from the State Public Library Fund from the \$1,298,028 realized in FY 2002-03 to \$648,992 in FY 2003-04. In line with the Strategic Plan, the Library will continue to operate solely through dedicated Library Fund revenue sources, maintaining a Net County Cost of zero.

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 440                                     | 440  | 421                         | (19)                                  | -4.32   |
| Total Revenues     | 29,011,072             | 28,875,644                              | 29,944,587   | 29,912,537                  | (32,050)                              | -0.11   |
| Total Requirements | 28,099,845             | 28,875,644                              | 29,093,737   | 29,912,537                  | 818,800                               | 2.81    |
| Balance            | 911,227                | 0                                       | 850,850  | 0                           | (850,850)                             | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Public Library in the Appendix on page 512.

### Highlights of Key Trends:

- Utilization of services and resources by the public continues to increase. Circulation count of items borrowed from libraries is expected to set an all time record for the fourth year in a row of some 6.75 million items in FY 2002-03. An increase of 3% is expected for FY 2003-04.

Access to library collection, databases and services from remote locations via the library website are increasing significantly as more functionality is added to the site; an expected rate of increase of 56% for FY 02-03 and another 20% in FY 2003-04.

### Budget Units Under Agency Control

| No. | Agency Name              | Administration & Facilities | Information Systems | Bibliographic Services | Fiscal & Purchasing Services | Human Resources | Program Services | Regional Services | County Librarian | Total      |
|-----|--------------------------|-----------------------------|---------------------|------------------------|------------------------------|-----------------|------------------|-------------------|------------------|------------|
| 119 | Public Library - Capital | 5,157,898                   | 0                   | 0                      | 0                            | 0               | 0                | 0                 | 0                | 5,157,898  |
| 120 | Public Library           | 5,552,222                   | 911,512             | 4,446,088              | 1,095,839                    | 342,246         | 950,650          | 16,274,090        | 339,890          | 29,912,537 |
|     | Total                    | 10,710,120                  | 911,512             | 4,446,088              | 1,095,839                    | 342,246         | 950,650          | 16,274,090        | 339,890          | 35,070,435 |

## 119 - PUBLIC LIBRARY - CAPITAL

### Operational Summary

#### Description:

Capital Projects Fund for Public Library

#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 5,156,159 |
| Total Recommended FY 2003-2004 Budget:        | 5,157,898 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

#### Strategic Goals:

- Promote and facilitate community interaction in learning, cultural and recreational activities.

#### Key Outcome Indicators:

| Outcome Indicator   | 2002 Business Plan Results   | 2003 Business Plan Target                                 | How are we doing?         |
|---|--|---|---------------------------|
| <b>PROGRESS IN CONSTRUCTING LIBRARY FACILITIES ON SCHEDULE AND WITHIN BUDGET.</b><br><b>What:</b> Measures our ability to complete construction plans providing facilities for library activities.<br><b>Why:</b> Growing population requires increased library infrastructure. | Foothill Ranch Branch completed. Design of Wheeler branch completed and construction started. Expansion of Heritage Park Branch completed. El Toro remodel finished. | Complete construction of Wheeler Branch by February 2004. | Projects are on schedule. |

#### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Finished construction and opened Foothill Ranch.
- Finished El Toro remodeling project.Finished Irvine Heritage Park remodeling project.
- Finished design of Wheeler Ranch.

**PUBLIC LIBRARY - CAPITAL** - Construction of Public Library capital projects.

### Budget Summary

#### Changes Included in the Recommended Base Budget:

Funds provided for construction of Wheeler Ranch and also capital project at Foothill Ranch.



## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 3,253,909              | 8,579,847                               | 6,091,706  | 5,157,898                   | (933,808)                             | -15.33  |
| Total Requirements | 1,289,410              | 8,579,847                               | 5,462,664  | 5,157,898                   | (304,766)                             | -5.58   |
| Balance            | 1,964,499              | 0                                       | 629,042  | 0                           | (629,042)                             | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Public Library - Capital in the Appendix on page 511.

# 15G - HOUSING/COMMUNITY DEVELOPMENT

## Operational Summary

### Mission:

To work in partnership with Orange County's diverse communities to preserve and expand affordable housing opportunities, strengthen economic viability and enhance the livability of neighborhoods.

### Strategic Goals:

- Increase and preserve affordable housing opportunities, especially for those most in need.
- Enhance the livability of the County's target neighborhoods.
- Increase and preserve shelter and supportive services for at-risk and homeless residents of Orange County.

### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results  | 2003 Business Plan Target  | How are we doing?   |
|--|---|--|---|
| <b>REDUCE PERCENT OF AFFORDABLE HSG OPPORTUNITIES NEEDED AS ID BY THE REGIONAL HOUSING NEEDS ASSESSMENT</b><br><b>What:</b> AHO's include creating new and preserving existing affordable hsg units and adding hsg vouchers.<br><b>Why:</b> Increasing affordable housing opportunities will help bridge the gap in the OC housing market. | H&CD anticipates that Year 2000 Housing Development projects will be completed during FY 02/03 and groundbreaking will occur for 01/02 approved projects. HUD awarded H&CD 452 additional Section 8 Housing Vouchers for over \$3.4M annually in monthly rental assistance payments. We are at 100% lease up. | Targets include issuing a \$13M Notice of Funding Availability (NOFA) for the development of affordable Housing units and applying for and receiving additional Housing Vouchers from HUD for rent subsidies.  | Since 2000, H&CD increased number of housing vouchers by over 2,500 and broke ground on housing developments that included over 1,500 affordable housing units.   |
| <b>LEVEL OF COMMUNITY SATISFACTION WITH NEIGHBORHOOD IMPROVEMENT RESULTING FROM COUNTY REHAB EFFORTS.</b><br><b>What:</b> The effect of rehabilitation efforts on a neighborhood in terms of community satisfaction.<br><b>Why:</b> Home and neighborhood enhancement contributes to higher levels of community satisfaction and pride.    | H&CD completed the rehabilitation of 40 single family homes, 60 mobile homes, 2 small multi-family units, 6 transitional housing units, 2 rental units, installed 2 traffic lights, improvements at 1 community center and 2 parks, 1 storm drain and 2 sidewalk projects, and 1 alley way reconstruction.    | H&CD targets include providing loans and grants through the Neighborhood Preservation Program to repair 50 mobile homes and 45 single family homes for Seniors and working families countywide and completing the construction of 7 public works projects worth over \$1.1M in capital improvements. | H&CD has helped the Community Revitalization Program to continue its presence in the County islands with its extensive community contacts and federal funding assistance for public infrastructure improvements making a difference in County island neighborhoods and preparing them for annexation. |

### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 22,563,443 |
| Total Recommended FY 2003-2004 Budget:        | 35,463,190 |
| Percent of County General Fund:               | N/A        |
| Total Employees:                              | 138.00     |

## Key Outcome Indicators: (Continued)

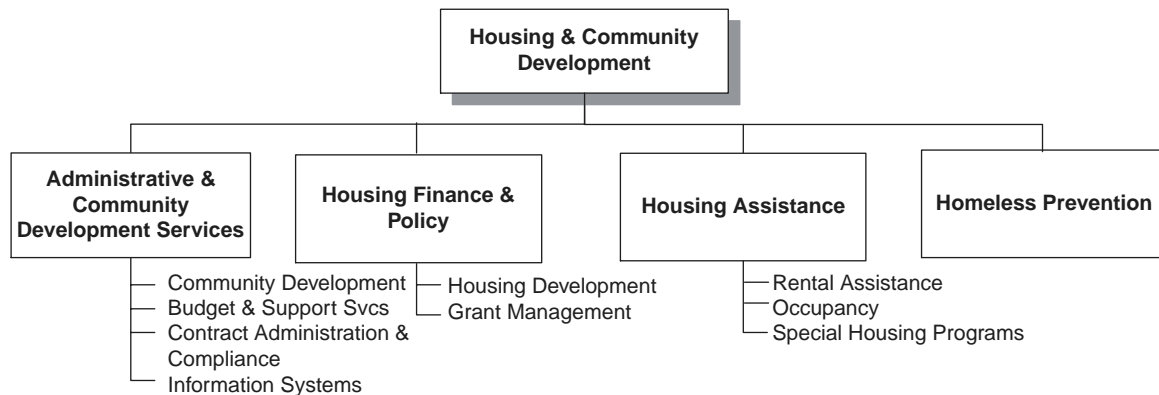
| Outcome Indicator   | 2002 Business Plan Results  | 2003 Business Plan Target  | How are we doing?  |
|---|---|--|--|
| <b>PRESERVE EXISTING AND % INCREASE OF SHELTER &amp; SUPPORTIVE SERVICE OPPS FOR AT-RISK AND HOMELESS OF OC</b><br><b>What:</b> Preserve/create emerg & trans shelter beds & servs to move homeless from crisis to self-sufficiency<br><b>Why:</b> Increased shelter, perm supportive hsg & service opportunities help eliminate gaps in OC CoFC System | H&CD was awarded \$9.7M from 2002 Homeless Assist grant competition. Also, working with public/private partnerships appx \$17M allocated from var sources. Combined resources provide: \$9M to increase shelters & support svc opportun & \$8M to preserve existing shelter beds & supportive svc throughout OC | H&CD will continue to play a lead role in the Orange County's Continuum of Care System for the Homeless. This plan will enhance existing partnerships and develop new partnerships designed to eliminate gaps in Orange County's System of Care. | H&CD continues to make unprecedented progress in the development and implementation of programs and strategies that enhance the CoFC System. H&CD's homeless prevention partnerships have been recognized as models by HUD, the State Children & Families Commission, & other public and private agencies. |

## Fiscal Year FY 2002-2003 Key Project Accomplishments:

- H&CD received Board approval to finance an additional 697 affordable housing units totaling \$7.85 million. This County commitment was leveraged with over \$89 million in public and private funds for the construction of affordable housing in Orange County.
- Modification to the 2002 Notice of Funding Availability (NOFA) to include a policy objective to include units at 30% average median income (AMI) or lower (to reach those most in need).
- Affordable homeownership programs include the continuation of the HIRE program, which has resulted in 9 loans to individuals/families working in Orange County. Additionally the Department launched the Cal-HOME/Mortgage Assistance Program (MAP), which provides silent seconds to low-income first-time homebuyers. This program was revised from previous years to include homes in all unincorporated areas.
- AB661 was signed into law in 2002. This County-sponsored legislation allows County Redevelopment housing funds to be invested in affordable housing projects anywhere in the County if specific conditions are met.
- OCHA assisted over 23,000 Orange County residents (9,107 households) with monthly rent payments through the Section 8 Rental Assistance Program, disbursing over \$75 million in annual rental subsidies to more than 3,800 participating property owners. In addition, OCHA successfully competed for additional rental assistance funding that expanded the program by 5% and serving more than 9,500 households.
- H&CD staff completed two of three planned educational manuals to assist residents' understanding of the rehabilitation options open to them and for clients to maintaining the improvements to their homes.
- Three community-wide newsletters were mailed out highlighting programs offered by H&CD as well as services provided at the three community centers owned by the County. In addition to 14 community meetings, the newsletters helped contribute to the betterment of the community through better informed and empowered residents.
- H&CD completed 33 housing rehabilitations in target neighborhoods during a year of restructuring its housing rehabilitation program. During the year the Board of Supervisors approved modifications to the Neighborhood Preservation Program to increase its appeal to residents in the unincorporated islands as well as increase options for implementing Program improvements.
- H&CD coordinated the development of regional plans for the countywide Homeless Management Information System (HMIS) and the 2-1-1 Information and Referral Calling System.
- H&CD continued to make significant progress in preserving and expanding Orange County's Continuum of Care System for the homeless. H&CD actively worked with several key public and private agencies to secure nearly \$17 million in at-risk and homeless assistance funding. These funds will be used to: 1) preserve the operation of 20 existing homeless shelters; 2) construct and operate 6 new homeless structures; 3) provide educational services for over 2,000 homeless children;

4) provide a variety of supportive services including life skills training, child care, transportation, health care and mental health care, and other services thereby adding approximately 10,000 supportive service slots to Orange County's System of care; and 5) provide permanent-supportive housing to approximately 201 special needs homeless individuals and/or families.

## Organizational Summary



**EXECUTIVE ADMINISTRATION** - Plans, organizes, administers and directs all functions related to the implementation of policies, programs and projects developed under legal authority granted to the Orange County Housing Authority, Community Development Block Grant and other similar federal, state and Orange County Development Agency funded programs. Includes Human Resources which is responsible for establishing an appropriate framework within the department so it can competitively attract and retain the best qualified employees while meeting appropriate laws and regulations and provides assistance in employee relations to ensure personnel standards and quality assurance.

In March 2002, the Homeless Prevention Program was shifted from the CEO to H&CD. As a result, H&CD now centrally coordinates the preservation and expansion of the County's Continuum of Care System for the Homeless. Major components of focus include: 1) Homeless Prevention; 2) Outreach and Assessment; 3) Emergency Shelter; 4) Transitional Housing; 5) Supportive Services; and 6) Permanent Supportive Housing.

**ADMIN & COMMUNITY DEVELOPMENT SVCS** - The Administrative & Community Development Services Division oversees departmental community relations activities as well as revitalization activities such as public works, infrastructure improvements, and housing rehabilitation. This Division also administers subrecipient contracts

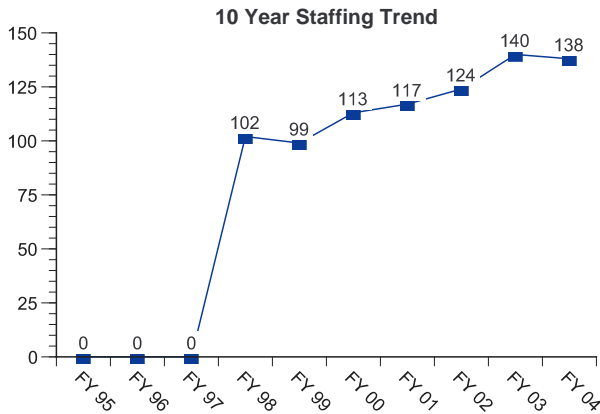
funded through the CDBG, HOME, ESG, and NDAPP. Additionally this Division is responsible for environmental services, purchasing, facilities management, budget, payroll, petty cash, computer services, and general support services. It is also responsible for overall H&CD program compliance. The Division performs audit, compliance and legal notification procedures required by HUD for the department.

**HOUSING FINANCE & POLICY** - The Housing Finance & Policy division is new to H&CD in 2001. It oversees new housing development in the creation of multi-family rental, transitional, and homeownership projects for lower income households. As funding shrinks, this Division is charged with developing way to leverage available funding sources. This new division also is responsible for legislative analysis and grants management. Grants Management included the Application Review and Homeless Assistance Programs application processes which are competitive processes by which funding is allocated to projects.

**HOUSING ASSISTANCE** - The Housing Assistance Division performs the operations of the Housing Authority. The Housing Authority is responsible for the disbursement of approximately \$75 million in housing subsidies annually, which is not reflected in the County's budget figures. In addition, the Housing Assistance Division operated special programs such as Family Self-Sufficiency, Family Unification, and the special needs homeless individuals or families

under the HUD Continuum of Care Program. The Housing Assistance programs have grown over 25% the past three years primarily resulting from the award of additional housing vouchers from HUD for the Section 8 Rental Assistance Program.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Prior to FY 97/98, H&CD was a division of EMA with all of the associated positions budgeted in EMA's budget.
- H&CD staff expanded in FY 99/00 due to an organizational assessment performed by the CEO and an outside consultant. Staff was added to the following sections: 13 to the Housing Assistance activity and 1 to the Program Support activity.
- H&CD staff increased by 4 in FY 00/01 due to the expansion of the Shelter Plus Care program (1), and the creation of CalWORKs program (3). Both of these programs are in the Housing Assistance Division.
- In FY 01/02 H&CD staff increased by 7 due to an award of 740 additional housing vouchers for the Section 8 program. Six staff members were added to the Housing Assistance section and 1 was added to the Program Support section.
- In September 2001 H&CD staff increase by 19 full time positions (12 new & 7 converted from extra help). This increase was due to an award of 820 additional housing vouchers for the Section 8 program and the implementation of a successful in-house inspection program. 17

of the staff members were added to the Housing Assistance section and 2 were added to the Administrative & Community Development Services section.

- In FY 02/03 4 positions are being deleted due to the rising costs of staff, the slight decline in block grant funding and the fixed amount of administration fees received from the Federal Government to administer programs such as the Section 8 program.
- Due to the rising costs of staff and the fixed amount of administrative fees received from the Federal Government, it was necessary for H&CD to analyze work assignments, positions and position levels in the FY 03/04 Budget. As a result, a net of five positions are being deleted. Three of the positions are filled with limited term employees, one of the positions is vacant and one will be vacant when the incumbent is promoted to another position. An additional position is being deleted but is offset by an addition of a position to resolve a classification issue.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

The budget is slightly higher than anticipated in the 2003 Strategic Plan. This is due to several large projects, originally projected to be completed, being carried forward into FY 03/04. H&CD's large carry forward and negative fund balance is due, in part, to an increase in multi-year projects budgeted and encumbered at 100% in the first year. Multi-year projects budgeted this way include those funded by the SuperNOFA Homeless Assistance grant process and the block grant programs. They were budgeted this way to facilitate the County's progress toward its strategic goal in addressing Housing, Homelessness, and infrastructure needs.

In addition, H&CD's FY 03/04 full-time, regular positions are 3 less than projected in the Strategic Plan. This is due to the mid-year addition of 3 net positions resulting from the addition of 452 new housing vouchers to our Section 8 Housing Assistance program and the deletion of 5 net positions during the FY 03/04-budget process.

Over the last several years, Affordable Housing Development was one of the Board of Supervisor's top 10 Strategic Priorities. It was also identified as Orange County's highest priority need in the 2003/2004 ARC funding process. As a result, almost \$2.5 million in new block grant funding was allocated toward this program in the FY 2003-2004 ARC process. Combined with over \$2.5 million appropriated in fund 117 and the increased Redevelopment commitment to affordable housing development, over \$36 million is allocated to fund affordable housing projects. Of that amount, \$25 million has already been committed to projects. H&CD will continue to solicit projects through a Request for Proposal (RFP) and Notice of Funding Availability (NOFA) for development of affordable rental housing. The goal of the RFP and NOFA is to promote the development of permanent affordable rental housing for Orange County's very-low and low-income households by providing favorable financing.

### Changes Included in the Recommended Base Budget:

Due to the rising costs of staff and the fixed amount of administrative fees received from the Federal Government, it was necessary for H&CD to analyze work assignments, positions and position levels in the FY 03/04 Budget. As a result, a net of five positions are being deleted. Three of the positions are filled with limited term employees, one of the positions is vacant and one will be vacant when the incumbent is promoted to another position. An additional position is being deleted but is offset by an addition of a position to resolve a classification issue.

Another action taken by H&CD to absorb the increasing personnel costs was the elimination of annual leave payoff except in the event of an emergency. Over the past couple of years, H&CD has implemented a policy, which required employees to request leave payoffs during the budget process for the following year. In FY 03/04, only requests for emergency payoffs will be considered and approved by the H&CD Director. If funding permits, H&CD hopes to reinstate its prior leave payoff policy in future budget years.

The H&CD FY 03/04 Budget continues to reflect the Homeless Programs and CEO will continue to fund the associated position and programs from the Miscellaneous Fund, 100-004, as was agreed when the program was transferred to H&CD in 2002.

The Proposed Budget includes \$7.2 million in new block grant funding and \$15.8 million in carry forward block grant and SuperNOFA Homeless Assistance funding for projects currently in progress and not anticipated to be completed by the end of FY 02/03. With the large carry forward into FY 03/04, H&CD anticipates large funding draws during the next year as many large affordable housing projects close.

Despite the Boards withdrawal of funding support to the Affordable Housing program from the General Fund, H&CD continues to receive an allocation of Redevelopment funds. Pending any impact on those funds by the State, H&CD will continue to forecast projects using these funds. Project funds continue to be budgeted in the individual Redevelopment funds and not in fund 15G. The \$17.8 million allocated for Rehabilitation and Affordable Housing Development is budgeted throughout the various Redevelopment funds and is slightly down from last year due to the anticipated closing of an affordable housing project this fiscal year. Fund 15G only reflects the administrative and direct project costs that will be reimbursed to 15G over the year.

Although H&CD received \$9.7 million for the 2002 SuperNOFA Homeless Continuum of Care application, the only part of the awarded amount that is reflected in H&CD's FY 03/04 Budget is \$2.9 million for the Shelter Plus Care Program. This is due to the continued submission of an Associated Application by H&CD, which results in no fiscal agent responsibilities or administrative fees to the Department for the remainder of the funds. This type of Application allows individual applicants to contract directly with HUD for their funding. Funds are no longer appropriated in fund 15G for administration of the 1998 and 1999 contracts since these contracts no longer have administrative funding available. Fund 117, however, does continue to fund the ongoing planning responsibilities of the SuperNOFA applications and the Continuum of Care system.

In August 2002, H&CD was awarded an additional 452-rental assistance Housing Vouchers by HUD for a revised allocation of 9,555 vouchers. This resulted in an additional 3 positions, the conversion of 2 limited term positions to full time positions and an increase of \$185,664 in the FY 02/03 Budget.



## Requested Budget Augmentations and Related Performance Results:

| Unit/Amount  | Description  | Performance Plan   | Ref. Num. |
|--|--|--|-----------|
| <b>Position Changes</b><br><b>Amount: \$ (259,761)</b> | Deletion of six positions, Addition of one position, and Increase of funding for one position. | Deletion of six positions, Addition of one position, and Increase of funding for one position. | 15G-001   |

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 141                                     | 141  | 138                         | (3)                                   | -2.13   |
| Total Revenues     | 10,332,538             | 35,009,884                              | 21,599,415   | 35,463,190                  | 13,863,775                            | 64.19   |
| Total Requirements | 18,130,115             | 35,009,884                              | 29,396,992   | 35,463,190                  | 6,066,198                             | 20.64   |
| Balance            | (7,797,577)            | 0                                       | (7,797,577)  | 0                           | 7,797,577                             | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Housing/Community Development in the Appendix on page 523.

## Highlights of Key Trends:

- As the supply of affordable new and resale housing decreases there is additional pressure for programs to address the needs of first time and low to moderate-income homebuyers. According to the California Association of Realtors, only 23% of Orange County households can afford the median-priced home.
- By the year 2005, the regional housing needs assessment for the unincorporated areas of Orange County calls for 22,407 additional housing units, about 7,034 of which should be affordable to very-low and low-income households. Of the 7,034 units, 2,950 units are needed for low-income households and 4,084 for very low-income households.
- One third of Orange County residents either pay more than 30% of their income on housing, live in overcrowded units, live far from their work place or live in substandard or poorly maintained housing.
- Job and population growth are far outstripping the housing supply.
- According to the Meyers Group, in September 2002, Orange County had only a one day supply of new homes available for sale. At the same time rental vacancy rates have decreased to about 4%. This pent-up demand is expected to continue to affect housing costs.
- Many struggling families and seniors are living in motels because those units do not require deposits and rent week to week.
- According to H&CD's 2002 Needs Assessment, there are 23,000 homeless in Orange County. Orange County's housing market remains one of the most expensive in the nation. Although employment continues to increase, the highest growth is in lower paying jobs in the service industry. As a result, thousands of working poor homeless families and individuals continue to be priced out of the housing market.
- As rents increase, landlords are less likely to participate in the Section 8 Rental Assistance Program unless HUD subsidies are commensurate with market rates.

## Budget Units Under Agency Control

| No. | Agency Name                                | Executive Administration | Admin & Community Development Svcs | Housing Finance & Policy | Housing Assistance | Total      |
|-----|--|--------------------------|------------------------------------|--------------------------|--------------------|------------|
| 117 | OC Housing Authority-<br>Operating Reserve | 16,898                   | 997,713                            | 2,703,400                | 632,972            | 4,350,983  |
| 15G | Housing/Community<br>Development           | 3,201,600                | 8,836,825                          | 15,419,846               | 8,004,919          | 35,463,190 |
| 15U | Strategic Priority Affordable<br>Housing   | 0                        | 0                                  | 4,900,000                | 0                  | 4,900,000  |
|     | Total                                      | 3,218,498                | 9,834,538                          | 23,023,246               | 8,637,891          | 44,714,173 |



# 117 - O.C. HOUSING AUTHORITY - OPERATING RESERVE

## Operational Summary

### Description:

To work in partnership with Orange County's diverse communities to preserve and expand affordable housing opportunities, strengthen economic viability and enhance the livability of neighborhoods.

### Strategic Goals:

- Increase and preserve affordable housing opportunities, especially for those most in need.

### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- In January 2002, H&CD issued a \$13 million NOFA for affordable housing development: \$10 million was offered for rehabilitation or new construction and additional \$3 million was offered for land acquisition on the Urban County Participating Cities and the unincorporated Target Areas. One of the projects funded through this NOFA is Laguna Canyon Apartments, which will provide 120 one, two, and three bedroom units for families whose income is between 30% and 60% of the AMI. The County has committed \$2,583,400 in Orange County Housing Authority Operating Reserve funds to the project. Construction will start in early 2003.
- The Operating Reserve funds the enhancement payments for the Family Self-Sufficiency (FSS) program. FSS currently has 440 active participants, which includes 26 new clients. As of September 30, 2002, there were 295 active escrow accounts for participants, with accumulated escrow credits totaling \$1,141,017. In 2002, nine families graduated from the program and are now self-sufficient.

**O.C. HOUSING AUTHORITY/OPERATING RESERVE** - Operating Reserve funds may be used only for the cost of ongoing administration of Housing and Community Development's (H&CD) current Section 8 rental assistance program or for other housing related purposes consistent with state and local law, including the development of affordable housing as approved by the Board.

### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 1,328,089 |
| Total Recommended FY 2003-2004 Budget:        | 4,350,983 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

The County's Strategic Priorities include the continued development of affordable housing opportunities and enhanced support for the County's Continuum of Care for the homeless. In the FY 03/04 budget, H&CD has appropriated over \$2.5 million for the development of affordable housing opportunities and has continued to allocate funding for the Cold Weather Shelter program.

## Changes Included in the Recommended Base Budget:

Although H&CD received \$9.7 million for the 2002 SuperNOFA Homeless Continuum of Care application, none of the awarded amount is reflected in H&CD's FY 03/04 Budget. This is due to the continued submission of an Associated Application by H&CD, which results in no fiscal agent

responsibilities or administrative fees to the Department. This type of Application allows individual applicants to contract directly with HUD for their funding. Funds are no longer appropriated in fund 15G for administration of the 1998 and 1999 contracts since these contracts no longer have administrative funding available. Fund 117, however, does continue to fund the ongoing planning responsibilities of the SuperNOFA applications and the Continuum of Care system.

## Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 8,215,192              | 7,960,792                               | 8,406,241  | 4,350,983                   | (4,055,258)                           | -48.24  |
| Total Requirements | 1,070,948              | 7,960,792                               | 4,966,541  | 4,350,983                   | (615,558)                             | -12.39  |
| Balance            | 7,144,244              | 0                                       | 3,439,699  | 0                           | (3,439,699)                           | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: O.C. Housing Authority - Operating Reserve in the Appendix on page 510.

## Highlights of Key Trends:

- Reference Fund 15G, Housing & Community Development's Operating Budget.

## 15U - STRATEGIC PRIORITY - AFFORDABLE HOUSING

### Operational Summary

#### Description:

Fund 15U facilitates the expenditure of general funds and Single Family Housing funds that are dedicated to the creation and preservation of affordable housing in Orange County.

#### Strategic Goals:

- Increase and preserve affordable housing opportunities, especially for those most in need.

#### Key Outcome Indicators:

| Outcome Indicator   | 2002 Business Plan Results   | 2003 Business Plan Target  | How are we doing?  |
|---|--|--|--|
| <b>REDUCE PERCENT OF AFFORDABLE HSG OPPORTUNITIES NEEDED AS ID BY THE REGIONAL HOUSING NEEDS ASSESSMENT</b><br><b>What:</b> Affordable Housing Opportunities include creating new and preserving existing affordable hsg units.<br><b>Why:</b> Increasing affordable housing opportunities will help bridge the gap in the OC housing market. | During FY 02/03, a Notice of Funding Availability was issued for \$5 million (less administrative costs) and the majority of the funding is already committed to projects. | Target's include issuing a \$13 million Notice of Funding Availability (NOFA), using various funding sources, for the development of affordable Housing units. | In fiscal year 2002/03 H&CD committed over \$3.8 million toward the development of affordable housing. |

#### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- In fiscal year 2002/03 H&CD committed over \$3.8 million toward the development of affordable housing.

**STRATEGIC PRIORITY AFFORDABLE HOUSING** - This fund facilitates the expenditure of Single Family Housing funds that are dedicated to the creation and preservation of affordable housing in Orange County.

#### Ten Year Staffing Trend Highlights:

- There are no positions in this fund.

#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 100,000   |
| Total Recommended FY 2003-2004 Budget:        | 4,900,000 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

Over the last several years, Affordable Housing Development was one of the Board of Supervisor's top 10 Strategic Priorities. It was also identified as Orange County's highest priority need in the 2003/2004 ARC funding process. As a result, almost \$2.5 million in new block grant funding was allocated toward this program in the FY 2003-2004 ARC process. Combined with over \$2.5 million appropriated in fund 117 and the increased Redevelopment commitment to

affordable housing development, over \$36 million is allocated to fund affordable housing projects. Of that amount, \$25 million has already been committed to projects. H&CD will continue to solicit projects through a Request for Proposal (RFP) and Notice of Funding Availability (NOFA) for development of affordable rental housing. The goal of the RFP and NOFA is to promote the development of permanent affordable rental housing for Orange County's very-low and low-income households by providing favorable financing.

### Changes Included in the Recommended Base Budget:

Fund 15U, Strategic Priority Affordable Housing, was created during FY 02/03 to account for the monies allocated by the Board of Supervisors to the creation of affordable housing. During FY 02/03, \$5 million was transferred into this fund from 15B, Single Family Housing. The budget for FY 03/04 reflects the \$5 million less administrative costs for Fiscal Years 02/03 and 03/04. The administrative costs are budgeted in 15G offset from 15U. During FY 02/03, a Notice of Funding Availability was issued for the \$5 million (less administrative costs) and the majority of the funding is already committed to projects.

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |          |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|----------|
|                    |                        |   |  |                             | Amount                                | Percent  |
| Total Revenues     | 0                      | 13,800,000                              | 5,000,000  | 4,900,000                   | (100,000)                             | -2.00    |
| Total Requirements | 0                      | 13,800,000                              | 100,000  | 4,900,000                   | 4,800,000                             | 4,800.00 |
| Balance            | 0                      | 0                                       | 4,900,000  | 0                           | (4,900,000)                           | -100.00  |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Strategic Priority - Affordable Housing in the Appendix on page 527.

### Highlights of Key Trends:

- As the supply of affordable new and resale housing decreases there is additional pressure for programs to address the needs of first time and low to moderate-income homebuyers. According to the California Association of Realtors, only 23% of Orange County households can afford the median-priced home.
- By the year 2005, the regional housing needs assessment for the unincorporated areas of Orange County calls for 22,407 additional housing units, about 7,034 of which should be affordable to very-low and low-income households. Of the 7,034 units, 2,950 units are needed for low-income households and 4,084 for very low-income households.
- One third of Orange County residents either pay more than 30% of their income on housing, live in overcrowded units, live far from their work place or live in substandard or poorly maintained housing.
- Job and population growth are far outstripping the housing supply.
- According to the Meyers Group, in September 2002, Orange County had only a one day supply of new homes available for sale. At the same time rental vacancy rates have decreased to about 4%. This pent-up demand is expected to continue to affect housing costs.
- Many struggling families and seniors are living in motels because those units do not require deposits and rent week to week.
- It is estimated that there are over 23,000 homeless people in Orange County of any given night.
- As rents increase, landlords are less likely to participate in the Section 8 Rental Assistance Program unless HUD subsidies are commensurate with market rates.

## 280 - AIRPORT - OPERATING ENTERPRISE

### Operational Summary

#### Mission:

To plan, direct, and provide high quality aviation services for Orange County in a safe, secure and efficient manner.

#### Strategic Goals:

- Maintain a safe and secure operating environment for aviation and the traveling public.
- Provide a positive aviation experience to travelers and tenants.
- Operate John Wayne Airport in an environmentally responsible manner.
- Operate John Wayne Airport as a financially efficient and self-supporting aviation facility.

#### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results  | 2003 Business Plan Target   | How are we doing?  |
|--|---|---|--|
| <b>SUCCESSFULLY PASS THE ANNUAL PART 139 AIRPORT CERTIFICATION INSPECTION.</b><br><b>What:</b> Annual inspection conducted by FAA to ensure JWA is being operated in a safe and efficient manner.<br><b>Why:</b> To maintain a safe operating environment for aviation and the traveling public.                                       | The Annual Part 139 inspection is conducted by the FAA during which time all elements specified in Federal Aviation Regulation (FAR) Part 139 are examined. JWA successfully passed the Annual Part 139 inspection.   | Successfully pass the Annual Part 139 Inspection by continuing to perform twice daily airfield inspections and identify, report and correct any items that deviate from FAA specifications. | We have always successfully passed the Annual Part 139 Inspection.           |
| <b>% OF GOOD OR EXCELLENT OVERALL RATING IN THE "AIR TRANSPORTATION USER" AND "AIRPORT TENANT" SURVEYS.</b><br><b>What:</b> Surveys conducted to measure passenger/tenant satisfaction with Airport's service and performance.<br><b>Why:</b> To ensure that our service to customers and tenants meets or exceeds their expectations. | The survey of air transportation users was deferred to early 2003 to allow the survey to capture passenger views of the new security screening procedures and facility modifications related to baggage screening. We are in the process of completing the 2002 Air Transportation User survey. | Conduct "Air Transportation User" survey to identify client perception of and recommendations for improving Airport facilities and services.  | We are in the process of conducting our 2002 Air Transportation User survey. |

#### At a Glance:

|   |             |
|---|-------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 111,160,955 |
| Total Recommended FY 2003-2004 Budget:        | 114,260,689 |
| Percent of County General Fund:               | N/A         |
| Total Employees:                              | 141.00      |

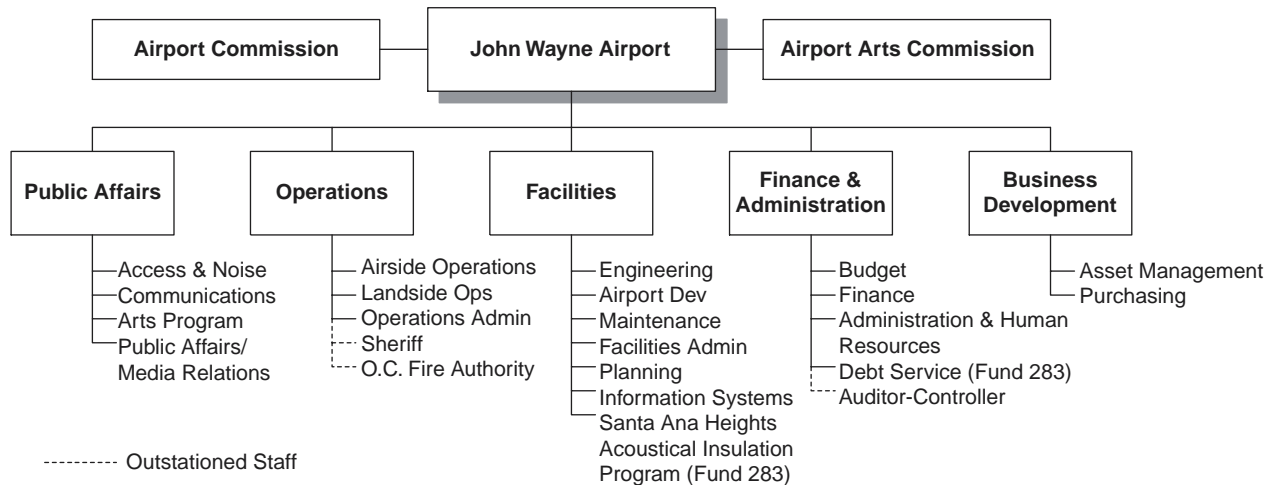
## Key Outcome Indicators: (Continued)

| Outcome Indicator   | 2002 Business Plan Results  | 2003 Business Plan Target                        | How are we doing?  |
|---|---|--|--|
| <b>ENFORCE ALL PROVISIONS OF THE PHASE 2 COMMERCIAL AIRLINE ACCESS PLAN AND REGULATION.</b><br><b>What:</b> Balances need for airport with desire of community for environmentally responsible operation at JWA.<br><b>Why:</b> Compliance conveys message that County will completely fulfill its commitment to its residents. | 100 percent compliance with Plan allocation provisions.   | Continue to maintain 100 percent compliance.     | The provisions of the Phase 2 Commercial Airline Access Plan, other than the allocation provisions that have been amended, became effective on October 1, 1990. Since the Plan's implementation, the County has been in 100 percent compliance of its duties and responsibilities. |
| <b>DEBT SERVICE COVENANT OF AT LEAST 175 PERCENT.</b><br><b>What:</b> Requires Airport net revenues each year of at least 125% of debt service requirement for FY.<br><b>Why:</b> Provides JWA with opportunity to demonstrate to financial community its ability to repay debt.  | Successful management of the Airport's rates and charges structure is critical to generate revenue required to fulfill JWA's obligations under the indenture. The debt coverage ratio for FY 01-02 was 197 percent. | Continue to maintain 100 percent compliance.     | Since issuance of the 1987 Airport Revenue Bonds, JWA has consistently exceeded the rate covenant imposed by indenture. Current credit ratings for the Airport are Aa3, A+, A+ respectively from Moody's, Standard & Poor's and Fitch.   |
| <b>MAINTAIN INVESTMENT GRADE BOND RATING.</b><br><b>What:</b> A credit rating is an opinion of an issuer's overall ability to pay its financial obligations.<br><b>Why:</b> Such an opinion focuses on JWA's ability to meet its financial obligations as they come due.  | JWA has maintained its very high bond ratings. JWA bonds were also upgraded by Standard and Poor's from an A rating to an A+ rating.  | Continue to maintain our very high bond ratings. | JWA's bonds were upgraded by Standard & Poor's from an A rating to an A+ rating. JWA received this rating because of its ability to closely monitor its budget.  |

## Fiscal Year FY 2002-2003 Key Project Accomplishments:

- The Airport passed the annual FAA Airport Certification Inspection and all quarterly Security Inspection Audits--yardsticks by which the FAA measures airport safety and security.
- Conducted Triennial Full-Scale Disaster Exercise.
- JWA identified, designed and constructed modifications to accommodate new security requirements resulting from post September 11th heightened security requirements. Completed projects include Explosive Detection K9 facility, K9 training facilities, security screening checkpoint upgrades, and 100% Baggage Screening system.
- The JWA Web site home page was redesigned to feature expanded "Travel Tips" as well as links to more detailed information on safety and security procedures.
- Upgraded valet parking operation to a full-service operation, reducing customer wait times.
- The settlement agreement between JWA, the City of Newport Beach, AWG and SPON was successfully modified.
- JWA maintained its very high bond rating.
- Distributed Purchasing Guide for use by all airport employees for more efficient use of County procurement procedures and department.
- Completed credit card processor and equipment conversion in the JWA parking structures. As a result of this conversion, JWA estimates annual cost savings in merchant card service fees of approximately \$100,000 annually.

## Organizational Summary



**PUBLIC AFFAIRS** - Responsible for public information and media relations. Provides implementation and enforcement of Phase 2 Access Plan and Settlement Agreement. Responsible for the interaction with federal, state and local agencies regarding legislation. Coordinates and monitors environmental activities. Oversees the Airport Communications Operator function. Administers the Airport Arts Program.

**OPERATIONS** - Coordinates and administers the general functional activities related to John Wayne Airport including airport security, parking, ground transportation, liaison with commercial airline tenants, fixed-base operations, and aircraft tiedown facilities. Monitors airfield operations including enforcement of Airport rules and regulations. Administers outstationed departments such as the Sheriff-Coroner and Fire Authority for provision of law enforcement, aircraft rescue and firefighting.

**FACILITIES** - Provides engineering studies and analysis of facility requirements for the Airport. Responsible for all aspects of Airport facilities maintenance and information systems. Provides planning and project management of the capital development program, including the design and construction of Airport facilities. Ensures compliance of Airport facilities with environmental regulations. Prepares grant applications for federal financial assistance under the FAA Airport Improvement Program. Provides staff support to the Airport Land Use Commission.

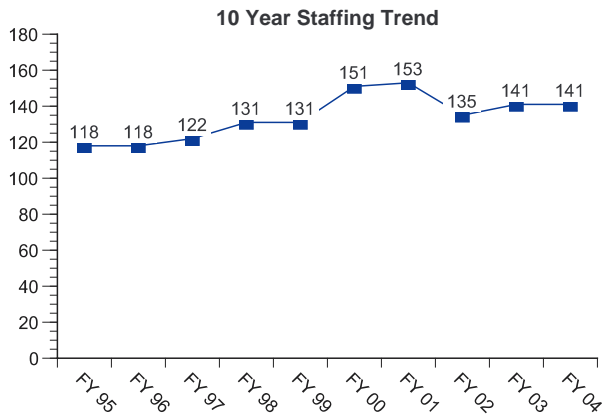
**FINANCE & ADMINISTRATION** - Responsible for Airport finance, budget, debt management, staff services, administrative support and human resources. Provides staff support to the Airport Commission. Responsible for all airport rates and charges preparation and coordinates Airline Affairs Committee meetings. Includes outstationed Auditor-Controller staff who provide accounting and financial services, and monitor Airport revenue and expenditures.

**BUSINESS DEVELOPMENT** - Coordinates and is responsible for concession planning and revenue development activities and the negotiation and administration of leases, licenses, concession agreements and easements. Responsible for the acquisition and sale of real property and related property appraisal and condemnation issues. Coordinates with the Finance and Administration division on the annual audit and record survey of Airport tenants. Responsible for all aspects of Airport procurement including preparing bid/proposal solicitations, issuing agency contracts, interfacing with vendors, maintaining a record of department purchases and conducting internal procurement value analysis. Administers the Disadvantaged Business Enterprise (DBE) Program.



**JOHN WAYNE AIRPORT - OPERATING** - Includes the office of the Airport Director and outstationed County Counsel who provides legal counsel on County and Airport matters.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Six new positions were added in FY 02-03, including one Information Systems Manager, one Staff Specialist, two Electronics Technicians, one Staff Analyst III, and one Real Property Agent II.
- The 18 positions which were added in FY 99-00 for Interim Use were transferred mid-year FY 00-01 to the LRA (Fund 13K).
- Two positions were transferred mid-year FY 99-00, one from the CEO and one from PDS; these are reflected in FY 00-01 position total.

- In FY 99-00 one position was transferred from the Auditor-Controller to John Wayne Airport to more appropriately reflect finance-related activities performed; one position was added to help manage the increased requirements in the Information Systems section; 18 positions were added for Interim Use pending further Board direction.
- In FY 97-98 four positions were deleted and 13 positions were added to reflect the impact of the County's decentralization of Real Property, Human Resources, Facilities, County Counsel and Purchasing activities.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

As an enterprise fund, John Wayne Airport is self-supporting through revenues it generates and receives no monies from the County General Fund. Revenues are utilized to operate the Airport, provide for repayment of revenue bonds, fund facility capital improvement or maintenance projects, and support aviation planning.

### Changes Included in the Recommended Base Budget:

The requested budget includes an additional \$2.2 million for utility and maintenance costs associated with operation of the in-line baggage screening system.

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002 | FY 2002-2003            | FY 2002-2003                           | FY 2003-2004 | Change from FY 2002-2003 |         |
|--------------------|--------------|-------------------------|--|--------------|--------------------------|---------|
|                    | Actual       | Budget<br>As of 3/31/03 | Projected <sup>(1)</sup><br>At 6/30/03 | Recommended  | Amount                   | Percent |
| Total Positions    | -            | 141                     | 141                                    | 141          | 0                        | 0.00    |
| Total Revenues     | 233,284,261  | 87,907,163              | 122,869,405                            | 114,260,689  | (8,608,716)              | -7.01   |
| Total Requirements | 198,712,366  | 171,277,118             | 142,632,448                            | 114,260,689  | (28,371,759)             | -19.89  |
| Balance            | 34,571,896   | (83,369,955)            | (19,763,043)                           | 0            | 19,763,043               | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Airport - Operating Enterprise in the Appendix on page 521



### Highlights of Key Trends:

- In the fall of 2002, the private firm that had traditionally provided passenger screening at JWA was replaced by new federally trained and employed screeners. By the end of 2002, in compliance with federal law, the Airport completed installation of equipment necessary to screen 100% of all checked baggage.
- JWA is responsible for implementing directives issued by the Transportation Security Administration (TSA) and FAA. The sheer number of these directives and the complexity of integrating them into the current Airport Security Plan have and will continue to present challenges to the Airport. JWA's challenge will be to integrate the new personnel, equipment, and processes into its daily operations in a safe and efficient manner.
- A financial challenge to the Airport is presented by new utility and maintenance costs associated with construction and operation of the in-line baggage screening system. JWA will seek financial relief from TSA for these additional costs, but if such relief is not forthcoming, the Airport anticipates that increased costs will be reflected in higher rates and charges to JWA's aeronautical users.

### Budget Units Under Agency Control

| No. | Agency Name                     | Public Affairs | Operations | Facilities | Finance & Administration | Business Development | John Wayne Airport - Operating | Total       |
|-----|---------------------------------|----------------|------------|------------|--------------------------|----------------------|--------------------------------|-------------|
| 280 | Airport - Operating             | 2,216,336      | 23,400,838 | 52,364,312 | 32,163,520               | 895,679              | 3,220,004                      | 114,260,689 |
| 283 | John Wayne Airport Debt Service | 0              | 0          | 1,118,000  | 47,720,686               | 0                    | 0                              | 48,838,686  |
|     | Total                           | 2,216,336      | 23,400,838 | 53,482,312 | 79,884,206               | 895,679              | 3,220,004                      | 163,099,375 |

## 283 - JOHN WAYNE AIRPORT DEBT SERVICE

### Operational Summary

#### Description:

This fund provides appropriations for debt service and related trustee activity associated with Airport Revenue Bonds, Series 1993 and 1997. The bonds were issued to refinance debt associated with the construction of the JWA Master Plan, including the Thomas F. Riley Terminal, which opened to the traveling public in September 1990. Funding was subsequently added for all facets of the Santa Ana Heights Acoustical Insulation Program.

#### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 49,877,813 |
| Total Recommended FY 2003-2004 Budget:        | 48,838,686 |
| Percent of County General Fund:               | N/A        |
| Total Employees:                              | 0.00       |

#### Strategic Goals:

- Continue to comply with debt defeasance requirements in accordance with the Bond Indenture.
- Maintain Moody's Aa3 rating, Standard and Poor's A+ rating and Fitch's A+ rating on JWA revenue bonds.
- Continue the Santa Ana Heights Acoustical Insulation Program.

#### Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Met or exceeded all financial and reporting requirements under the JWA Bond Indenture.
- JWA Bonds were upgraded from an A rating to an A+ rating by Standard & Poor's.
- Met with and provided various rating agencies and bondholders with updated reports on JWA's financial position. Provided regular specifically on large or long term security projects that may have a financial impact.
- JWA successfully sold \$48,680,000 in Series 2003 Revenue Refunding Bonds. Proceeds from the bonds, which sold at a premium of \$4,205,712, together with approximately \$10.2 million in Airport reserves will be used to refund the \$65,250,000 principal amount outstanding on the Airport's Series 1993 Bonds on July 1, 2003. This transaction will provide for a reduction in the Airport's annual debt service payment of approximately \$1.5 million per year for the period of July 1, 2003 through June 30, 2018, resulting in a net present value economic gain of \$6,187,000. The transaction further provides for a reduction in the Airport's outstanding bond debt from \$165,535,000 to \$148,965,000.

**JWA DEBT SERVICE** - Provides appropriations to account for all debt service and related trustee activity as required under the JWA Bond Indenture.

**SA HEIGHTS ACOUSTICAL PROGRAM** - Provides appropriations to account for all aspects of the Santa Ana Heights Acoustical Insulation Program.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

As an enterprise fund, John Wayne Airport is self-supporting through revenues it generates and receives no money from the County General Fund. Net revenues from JWA operations are utilized to provide for repayment of Airport Revenue Bonds.

Insure that FAA grants and OCDA funding are available to support the Santa Ana Heights Acoustical Insulation Program.

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 133,990,716            | 194,817,551                             | 119,491,920  | 48,838,686                  | (70,653,234)                          | -59.13  |
| Total Requirements | 24,122,490             | 81,874,335                              | 112,896,047  | 48,838,686                  | (64,057,361)                          | -56.74  |
| Balance            | 109,868,225            | 112,943,216                             | 6,595,873  | 0                           | (6,595,873)                           | -100    |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: John Wayne Airport Debt Service in the Appendix on page 539.

### Highlights of Key Trends:

- Since the issuance of the 1987 Airport Revenue Bonds, JWA has consistently exceeded the 125 percent debt service covenant imposed by Bond Indenture.

- JWA has successfully completed Acoustical Insulation on an increasing number of homes and apartments each year since inception of the Santa Ana Heights Acoustical Insulation Program.

## 299 - INTEGRATED WASTE MANAGEMENT DEPARTMENT ENTERPRISE

### Operational Summary

#### Mission:

To meet the solid waste disposal needs of Orange County through efficient operations, sound environmental practices, strategic planning, innovation and technology.

#### Strategic Goals:

- Maintain a competitive rate for waste disposal in Orange County.
- Protect water, air and habitat in the management of the Orange County Disposal System.
- Financially support the County of Orange's Bankruptcy Recovery Plan.

#### Key Outcome Indicators:

| Outcome Indicator  | 2002 Business Plan Results   | 2003 Business Plan Target  | How are we doing?   |
|--|--|--|---|
| <b>GATE FEE COMPARISON WITHIN SOUTHERN CALIFORNIA.</b><br><b>What:</b> Comparison of disposal rates of the OC landfill system compared with other landfills in the region.<br><b>Why:</b> To ensure that Orange County residents are provided a competitive disposal rate. | 2002 Tipping Fee - \$22 per ton  | Evaluate disposal rates and adjust operating strategies to ensure IMWD's rates remain competitive.                         | IMWD's rates are currently in the lowest 10% within the Southern California region.   |
| <b>TOTAL VOLUME OF LEACHATE AND IMPACTED GROUND WATER COLLECTED THROUGH THE LEACHATE RECOVERY SYSTEM.</b><br><b>What:</b> Measure the amount of leachate and ground water collected.<br><b>Why:</b> To protect water quality from the effects of leachate.                 | The total volume of leachate and impacted ground water collected during 2002 was 4,648,067 gallons. This measure is important as it is mandated by law and prevents local ground water for being impacted. | Continue to install landfill liner systems, and optimize leachate and groundwater collection for water quality protection. | IMWD currently has collection and recovery systems in place at each landfill to collect leachate and impacted ground water. |
| <b>NET IMPORTATION REVENUE AMOUNT TRANSFERRED TO THE COUNTY GENERAL FUND PER YEAR.</b><br><b>What:</b> Revenue transferred to the County General Fund from imported waste.<br><b>Why:</b> To support the County's Bankruptcy Recovery Plan.                                | IMWD anticipates transferring \$13 million to the General Fund in FY 02/03.  | The Department target for FY 03/04 is to provide the maximum transfer of funds in support of bankruptcy obligations.       | To date \$79,316,725 or an average of \$13,219,454 per year has been transferred to the General Fund.                       |

#### At a Glance:

|   |             |
|---|-------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 111,884,130 |
| Total Recommended FY 2003-2004 Budget:        | 131,542,038 |
| Percent of County General Fund:               | N/A         |
| Total Employees:                              | 239.00      |

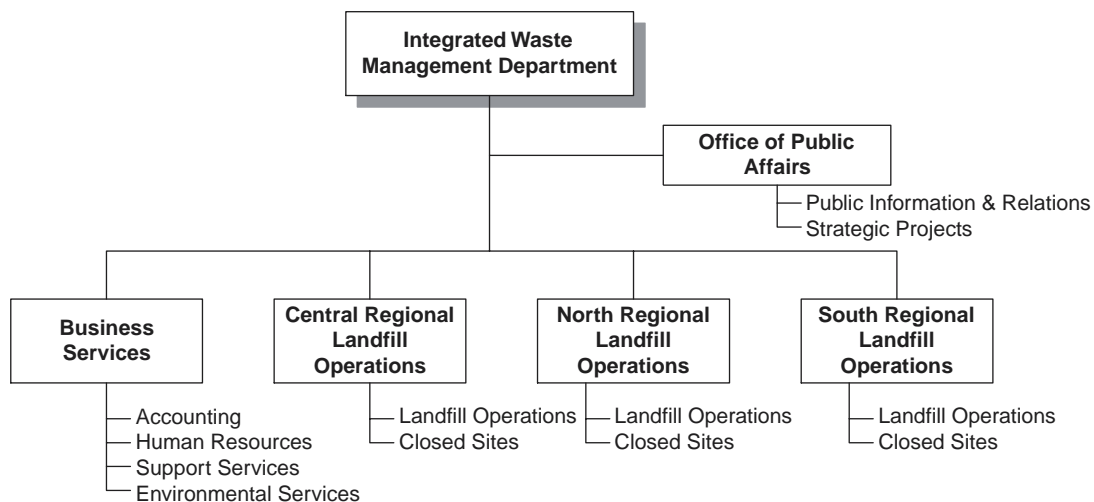
## Key Outcome Indicators: (Continued)

| Outcome Indicator  | 2002 Business Plan Results  | 2003 Business Plan Target  | How are we doing?   |
|--|---|--|---|
| <b>NUMBER OF YEARS OF REMAINING LANDFILL CAPACITY.</b><br><b>What:</b> Measures if Orange County meets state minimum standards of providing 15 years of landfill capacity.<br><b>Why:</b> To ensure that Orange County residents are provided with adequate landfill capacity. | Approximately 25-30 years of permitted landfill capacity.   | Perform remaining capacity calculations and compare results against state minimum standard of 15 years capacity for all jurisdictions to ensure adequate capacity.   | IWMD has more than adequate capacity to fulfill the requirement.  |
| <b>AMOUNT OF LANDFILL GAS COLLECTED AND PUT TO BENEFICIAL REUSE.</b><br><b>What:</b> Collection of landfill gas and put to beneficial reuse.<br><b>Why:</b> To protect the air from the effects of landfill gas.   | 5,176 MSCF of landfill gas was collected in 2002. Of this amount, 2,243 MSCF, or about 43% was put to beneficial reuse. It is important to note that any gas collected and not reused, is flared which results in the destruction of landfill gas in compliance with state/local regulations. | Expand landfill gas collection systems.  | Currently, IWMD has operational Landfill Gas-to-Energy systems at Olinda Alpha and Prima Deshecha Landfills. Installing a system at FRB is under negotiation. |
| <b>NUMBER OF HABITAT ACRES CURRENTLY UNDER RESTORATION/MITIGATION.</b><br><b>What:</b> Measures the number of landfill acres under restoration to recreate natural habitats.<br><b>Why:</b> To comply with the Natural Community Conservation Program (NCCP)                   | A/E consultant was hired to conduct biological survey to determine availability and costs of replacement habitat resources impacted by landfill operations.   | FRB Landfill: Explore A/E consultant recommendations for determining alternative strategies to mitigate for landfill operations.<br>Prima Landfill: IWMD is a participating landowner included in negotiations for the development of a South County NCCP. | Prima Landfill: 11.84 acres of riparian habitat and 12.36 acres of Coastal Sage Scrub will be created at the landfill to replace disturbed habitat.           |

## Fiscal Year FY 2002-2003 Key Project Accomplishments:

- Received an unqualified opinion on the Audited Financial Statements indicating no negative findings.
- Completed the construction of the "Green Building" office at the Frank R. Bowerman Landfill.
- Developed long-term fill phasing plans and soil conservation, eliminating the need for additional soil at the Olinda Alpha Landfill saving IWMD \$60 million through 2010.
- Established Cathode Ray Tube (CRT) collection programs at the County's four Household Hazardous Centers to help prevent illegal disposal of hazardous waste.

## Organizational Summary



**BUSINESS SERVICES** - Conducts Department accounting, budget, finance, and procurement activities; implements and maintains Department information systems; manages human resources needs of the Department; and provides various general support services; oversees regulatory compliance issues; administers Waste Disposal Agreements; manages contracts for solid waste services in the unincorporated areas; coordinates the operations of regional Household Hazardous Waste Collection Centers; coordinates with the cities in the administration of the County Integrated Waste Management Plan.

**CENTRAL REGIONAL LANDFILL OPS** - Operates and manages daily activities at the Frank R. Bowerman Landfill which accepts and disposes of residential, commercial and industrial non-hazardous waste; manages and maintains five closed landfill sites.

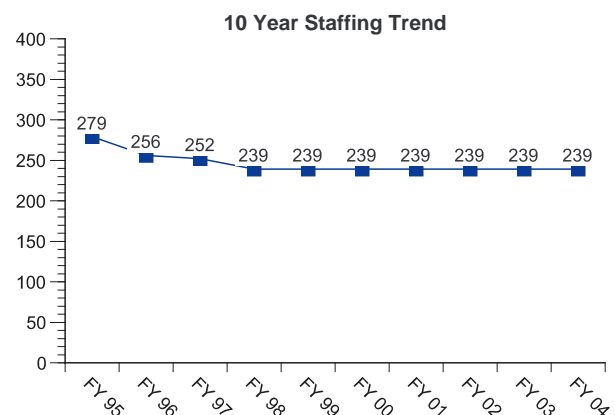
**NORTH REGIONAL LANDFILL OPS** - Operates and manages daily activities at the Olinda Alpha Landfill which accepts and disposes of residential, commercial and industrial non-hazardous waste; manages and maintains ten closed landfill sites.

**SOUTH REGIONAL LANDFILL** - Operates and manages daily activities at the Prima Deshecha Landfill which accepts and disposes of residential, commercial and industrial non-hazardous waste; manages and maintains five closed landfill sites.

**OFFICE OF PUBLIC AFFAIRS** - Facilitates long-range planning; identifies, analyzes and manages liability matters related to IWMD solid waste operations; develops strategies for resolving legal issues; conducts negotiations with developers, municipalities and property owners; conducts community and media relations.

**IWMD DIRECTOR** - Directs the administrative management activities of the Department to accomplish Department goals and strategic priorities.

### Ten Year Staffing Trend:



### Ten Year Staffing Trend Highlights:

- Staffing trend illustrates the success the Department has had in streamlining operations to reduce costs, maximize efficiency, and better serve our customers.

## Budget Summary

### Plan for Support of the County's Strategic Priorities:

The Department will continue to provide available landfill capacity as needed for importation of waste and transfer net importation revenue to the General Fund for bankruptcy related obligations.

### Changes Included in the Recommended Base Budget:

The base budget reflects a decrease in appropriations of approximately \$13 million as a result of reductions in landfill maintenance, capital improvement and site closure costs.

### Requested Budget Augmentations and Related Performance Results:

| Unit/Amount   | Description   | Performance Plan  | Ref. Num. |
|---|---|---|-----------|
| <b>EXTRA HELP CONVERSION</b><br><b>Amount: \$ 684,315</b> | IWMD requests conversion of 35 Laborer positions from extra-help to regular help. | These positions will perform required tasks such as, landfill maintenance and litter removal. | 299-001   |

### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Positions    | -                      | 239                                     | 239  | 239                         | 0                                     | 0.00    |
| Total Revenues     | 428,887,107            | 174,012,329                             | 150,788,120  | 131,542,038                 | (19,246,082)                          | -12.76  |
| Total Requirements | 333,088,674            | 117,567,800                             | 138,765,364  | 131,542,038                 | (7,223,326)                           | -5.21   |
| Balance            | 95,798,433             | 56,444,529                              | 12,022,756   | 0                           | (12,022,756)                          | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Integrated Waste Management Department Enterprise in the Appendix on page 545.

### Highlights of Key Trends:

- Construction is currently under way on the Prima Deshecha Landfill Zone 1 Expansion. This project will provide approximately 4 years and 3 million tons of disposal capacity for Orange County residents.
- IWMD is currently implementing our new Landfill Fee Collection, Accounts Receivable and Tonnage Reporting system. These advanced systems will provide IWMD increased efficiency in tracking and reporting tonnage and collecting disposal fees.
- IWMD is developing a fully automated Records Management System. This system will allow easier access and retrieval of records, reduce record redundancy, and improve the departments overall records management efficiency.

## Budget Units Under Agency Control

| No. | Agency Name                           | Business Services | Central Regional Landfill<br>Ops | North Regional Landfill<br>Ops | South Regional Landfill | Office Of Public Affairs | Iwmd Director | Total       |
|-----|---------------------------------------|-------------------|----------------------------------|--------------------------------|-------------------------|--------------------------|---------------|-------------|
| 275 | Iwmd-Environmental Reserve            | 125,000           | 0                                | 0                              | 0                       | 0                        | 0             | 125,000     |
| 276 | Iwmd-Defrd Pmt Security Depos         | 31,688            | 0                                | 0                              | 0                       | 0                        | 0             | 31,688      |
| 277 | Iwmd-Rate Stabilization               | 1,352,733         | 0                                | 0                              | 0                       | 0                        | 0             | 1,352,733   |
| 279 | Iwmd-Landfill Postclos Maintnc        | 125,000           | 0                                | 0                              | 0                       | 0                        | 0             | 125,000     |
| 284 | Iwmd-Frb Escrow Account               | 1,035,928         | 0                                | 0                              | 0                       | 0                        | 0             | 1,035,928   |
| 285 | Iwmd Bankruptcy Recovery Plan         | 19,090,107        | 0                                | 0                              | 0                       | 0                        | 0             | 19,090,107  |
| 286 | Iwmd-Brea/Olinda Escrow               | 2,294,865         | 0                                | 0                              | 0                       | 0                        | 0             | 2,294,865   |
| 287 | Iwmd-Prima Escrow                     | 569,866           | 0                                | 0                              | 0                       | 0                        | 0             | 569,866     |
| 288 | Iwmd-Santiago Escrow                  | 781,120           | 0                                | 0                              | 0                       | 0                        | 0             | 781,120     |
| 299 | Integrated Waste Mgmt Dept Enterprise | 64,106,832        | 35,696,422                       | 14,533,029                     | 15,531,424              | 1,416,235                | 258,096       | 131,542,038 |
|     | Total                                 | 89,513,139        | 35,696,422                       | 14,533,029                     | 15,531,424              | 1,416,235                | 258,096       | 156,948,345 |



## 275 - IWMD - ENVIRONMENTAL RESERVE

### Operational Summary

#### Description:

State Regulations require landfill operators to set aside monies for potential corrective actions that may occur. In order to comply with these regulations, IWMD makes deposits to this fund on a monthly basis.

#### At a Glance:

|   |         |
|---|---------|
| Total FY 2002-2003 Projected Expend + Encumb: | 65,500  |
| Total Recommended FY 2003-2004 Budget:        | 125,000 |
| Percent of County General Fund:               | N/A     |
| Total Employees:                              | 0.00    |

**IWMD-ENVIRONMENTAL RESERVE** - None.

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 1,616,840              | 5,134,825                               | 2,607,413  | 125,000                     | (2,482,413)                           | -95.0   |
| Total Requirements | 49,427                 | 3,428,000                               | 3,343,500  | 125,000                     | (3,218,500)                           | -96.26  |
| Balance            | 1,567,413              | 1,706,825                               | (736,087)  | 0                           | 736,087                               | -100    |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: IWMD - Environmental Reserve in the Appendix on page 530.

## 276 - IWMD - DEFERRED PAYMENT SECURITY DEPOSITS

### Operational Summary

#### Description:

IWMD collects security deposits from landfill customers who open deferred billing accounts. These deposits are held to ensure IWMD has a source of funding in the event customers default on their account payments. As accounts are opened or closed funds are deposited or withdrawn as needed.

#### At a Glance:

|   |        |
|---|--------|
| Total FY 2002-2003 Projected Expend + Encumb: | 2,300  |
| Total Recommended FY 2003-2004 Budget:        | 31,688 |
| Percent of County General Fund:               | N/A    |
| Total Employees:                              | 0.00   |

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 1,045                  | 31,976                                  | 36,988   | 31,688                      | (5,300)                               | -14.33  |
| Total Requirements | 57                     | 43,000                                  | 40,300   | 31,688                      | (8,612)                               | -21.37  |
| Balance            | 988                    | (11,024)                                | (3,312)  | 0                           | 3,312                                 | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: IWMD - Deferred Payment Security Deposits in the Appendix on page 531.

## 277 - IWMD - RATE STABILIZATION

### Operational Summary

#### Description:

In accordance with the requirements of the Waste Management Refunding Revenue Bonds, Series 1997, IWMD has established a rate stabilization fund. This fund was established to provide assurance for the payment of the bond debt service payments.

#### At a Glance:

|   |        |
|---|--------|
| Total FY 2002-2003 Projected Expend + Encumb: | 29,500 |
| Total Recommended FY 2003-2004 Budget:        | 50,000 |
| Percent of County General Fund:               | N/A    |
| Total Employees:                              | 0.00   |

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 670,202                | 2,064,466                               | 1,082,233  | 1,352,733                   | (2,435,066)                           | -225.28 |
| Total Requirements | 22,969                 | 1,514,000                               | 1,493,500  | 1,352,733                   | (140,767)                             | -9.43   |
| Balance            | 647,233                | 550,466                                 | (411,267)  | 0                           | 411,267                               | -100    |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: IWMD - Rate Stabilization in the Appendix on page 532.

## 278 - REGIONAL LANDFILL OPTIONS FOR ORANGE COUNTY

### Operational Summary

#### Description:

In Fiscal Year 1999/00 IWMD began an economic and financial study to identify and investigate viable long-range waste disposal options for Orange County. This fund was established to set aside resources for the study and implementation of the approved solid waste disposal options.

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 292,149                | 1,057,470                               | 281,235  | 0                           | (281,235)                             | -100.00 |
| Total Requirements | 10,914                 | 840,500                                 | 281,235  | 0                           | (281,235)                             | -100.00 |
| Balance            | 281,235                | 216,970                                 | 0  | 0                           | 0                                     | 0       |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Regional Landfill Options for Orange County in the Appendix on page 533.

#### Highlights of Key Trends:

- Fund will be closed during FY 02/03.

## 279 - IWMD - LANDFILL POST-CLOSURE MAINTENANCE

### Operational Summary

#### Description:

State Regulations require IWMD provide financial assurances to conduct post-closure maintenance activities at all closed landfills. Each year IWMD transfers monies into this fund to comply with the regulations and fund future expenses.

#### At a Glance:

|   |         |
|---|---------|
| Total FY 2002-2003 Projected Expend + Encumb: | 60,700  |
| Total Recommended FY 2003-2004 Budget:        | 125,000 |
| Percent of County General Fund:               | N/A     |
| Total Employees:                              | 0.00    |

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 1,305,786              | 4,221,481                               | 2,239,240  | 125,000                     | (2,114,240)                           | -94.42  |
| Total Requirements | 47,545                 | 3,028,000                               | 2,963,700  | 125,000                     | (2,838,700)                           | -95.78  |
| Balance            | 1,258,240              | 1,193,481                               | (724,460)  | 0                           | 724,460                               | -100    |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: IWMD - Landfill Post-Closure Maintenance in the Appendix on page 534.

## 284 - FRANK R. BOWERMAN/BEE CANYON LANDFILL ESCROW

### Operational Summary

#### Description:

State Regulations require landfill operators to make deposits to closure accounts in order to demonstrate financial resources to pay for landfill closure costs. On a yearly basis, IWMD makes deposits to this fund to comply with the regulations.

#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 20,400    |
| Total Recommended FY 2003-2004 Budget:        | 1,035,928 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 516,396                | 1,598,656                               | 836,328  | 1,035,928                   | 199,600                               | 23.87   |
| Total Requirements | 17,068                 | 1,060,000                               | 1,030,400  | 1,035,928                   | 5,528                                 | 0.54    |
| Balance            | 499,328                | 538,656                                 | (194,072)  | 0                           | 194,072                               | -100    |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Frank R. Bowerman/Bee Canyon Landfill Escrow in the Appendix on page 540.

## 285 - IWMD BANKRUPTCY RECOVERY PLAN

### Operational Summary

#### Description:

To continue to receive and dispose of imported waste and provide net imported waste revenue for transfer to the General Fund in support of the County debt defeasance strategic plan.

#### Strategic Goals:

- See Integrated Waste Management Department Fund 299.

#### Ten Year Staffing Trend Highlights:

- See Fund 299.

#### At a Glance:

|   |            |
|---|------------|
| Total FY 2002-2003 Projected Expend + Encumb: | 21,495,819 |
| Total Recommended FY 2003-2004 Budget:        | 19,090,107 |
| Percent of County General Fund:               | N/A        |
| Total Employees:                              | 0.00       |

### Budget Summary

#### Plan for Support of the County's Strategic Priorities:

The Department will continue to provide available landfill capacity as needed for importation of waste and transfer net importation revenues to the General Fund for bankruptcy related obligations. For Fiscal Year 2003/2004 IWMD is projecting net importation revenues of \$9.5 million to be transferred to the General Fund.

#### Changes Included in the Recommended Base Budget:

The base budget includes \$4,850,000 for reimbursement to Fund 299 for a portion of the department's capital improvement costs.

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 20,759,525             | 18,936,037                              | 21,621,888   | 19,090,107                  | (2,531,781)                           | -11.71  |
| Total Requirements | 20,514,795             | 18,802,562                              | 21,614,481   | 19,090,107                  | (2,524,374)                           | -11.68  |
| Balance            | 244,730                | 133,475                                 | 7,407  | 0                           | (7,407)                               | -100.00 |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: IWMD Bankruptcy Recovery Plan in the Appendix on page 541.

## Highlights of Key Trends:

- See Fund 299.



## 286 - BREA-OLINDA LANDFILL ESCROW

### Operational Summary

#### Description:

State Regulations require landfill operators to make deposits to closure accounts in order to demonstrate financial resources to pay for landfill closure costs. On a yearly basis, IWMD makes deposits to this fund to comply with the regulations.

#### At a Glance:

|   |           |
|---|-----------|
| Total FY 2002-2003 Projected Expend + Encumb: | 46,800    |
| Total Recommended FY 2003-2004 Budget:        | 2,294,865 |
| Percent of County General Fund:               | N/A       |
| Total Employees:                              | 0.00      |

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 1,036,728              | 3,005,331                               | 1,781,665  | 2,294,865                   | 513,200                               | 28.80   |
| Total Requirements | 34,063                 | 1,900,000                               | 1,821,800  | 2,294,865                   | 473,065                               | 25.97   |
| Balance            | 1,002,665              | 1,105,331                               | (40,135)   | 0                           | 40,135                                | -100    |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Brea-Olinda Landfill Escrow in the Appendix on page 542.

## 287 - PRIMA DESCHECHA LANDFILL ESCROW

### Operational Summary

#### Description:

State Regulations require landfill operators to make deposits to closure accounts in order to demonstrate financial resources to pay for landfill closure costs. On a yearly basis, IWMD makes deposits to this fund to comply with the regulations.

#### At a Glance:

|   |         |
|---|---------|
| Total FY 2002-2003 Projected Expend + Encumb: | 11,600  |
| Total Recommended FY 2003-2004 Budget:        | 569,866 |
| Percent of County General Fund:               | N/A     |
| Total Employees:                              | 0.00    |

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 290,536                | 961,931                                 | 466,466  | 569,866                     | 103,400                               | 22.17   |
| Total Requirements | 9,570                  | 670,000                                 | 631,600  | 569,866                     | (61,734)                              | -9.77   |
| Balance            | 280,966                | 291,931                                 | (165,134)  | 0                           | 165,134                               | -100    |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Prima Deschecha Landfill Escrow in the Appendix on page 543.

## 288 - SANTIAGO CANYON LANDFILL ESCROW

### Operational Summary

#### Description:

State regulations require landfill operators to make deposits to closure accounts in order to demonstrate financial resources to pay for landfill closure costs. On a yearly basis, IWMD makes deposits to this fund to comply with the regulations.

#### At a Glance:

|   |         |
|---|---------|
| Total FY 2002-2003 Projected Expend + Encumb: | 15,400  |
| Total Recommended FY 2003-2004 Budget:        | 781,120 |
| Percent of County General Fund:               | N/A     |
| Total Employees:                              | 0.00    |

### Budget Summary

#### Proposed Budget and History:

| Sources and Uses   | FY 2001-2002<br>Actual | FY 2002-2003<br>Budget<br>As of 3/31/03 | FY 2002-2003<br>Projected <sup>(1)</sup><br>At 6/30/03 | FY 2003-2004<br>Recommended | Change from FY 2002-2003<br>Projected |         |
|--------------------|------------------------|---|--|-----------------------------|---------------------------------------|---------|
|                    |                        |   |  |                             | Amount                                | Percent |
| Total Revenues     | 357,916                | 1,191,041                               | 596,520  | 781,120                     | 184,600                               | 30.95   |
| Total Requirements | 12,396                 | 970,000                                 | 955,400  | 781,120                     | (174,280)                             | -18.24  |
| Balance            | 345,520                | 221,041                                 | (358,880)  | 0                           | 358,880                               | -100    |

(1) Requirements include prior year encumbrances and expenditures. Therefore, the above totals may not match FY 2002-2003 projected requirements included in "At a Glance" (Which exclude these).

Detailed budget by expense category and by activity is presented for agency: Santiago Canyon Landfill Escrow in the Appendix on page 544.

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## 9A1 Major Activities

The purpose of these funds is to construct facility improvements, address funding and expenditure activity, and provide for administrative expenses of the Orange County Development Agency (OCDA) and the CEO Single Family Housing Fund.

## 9A1 Funds

| Agency Number | OC Development Agency & CEO Single Family        | FY 2003-2004 Appropriations | FY 2003-2004 Revenue |
|---------------|--|-----------------------------|----------------------|
| 15A           | OCDA Santa Ana Heights 1993 Bond Issue           | \$ 23,609,070               | \$ 23,609,070        |
| 15B           | CEO Single Family Housing                        | 3,096,929                   | 3,096,929            |
| 15E           | OCDA/S.A. Heights 1993 Low & Mod Income Housing  | 152,325                     | 152,325              |
| 171           | OCDA Low & Moderate Income Housing (SA Heights)  | 12,786,311                  | 12,786,311           |
| 173           | OCDA Santa Ana Heights - Surplus                 | 14,726,320                  | 14,726,320           |
| 411           | OCDA (NDAPP) Projects, 1992 Issue A              | 981,581                     | 981,581              |
| 412           | OCDA (NDAPP) Low/Moderate Housing 1992 Issue A   | 3,172,690                   | 3,172,690            |
| 413           | OCDA (NDAPP) Projects, 1992 Issue B              | 1,260,088                   | 1,260,088            |
| 414           | OCDA (NDAPP), 1992 Issue B, Low/Moderate Housing | 2,382,313                   | 2,382,313            |
| 425           | OCDA Neighborhood Preserv. & Dev. - Construction | 713,264                     | 713,264              |
| 428           | OCDA (NDAPP) - Surplus                           | 850,857                     | 850,857              |